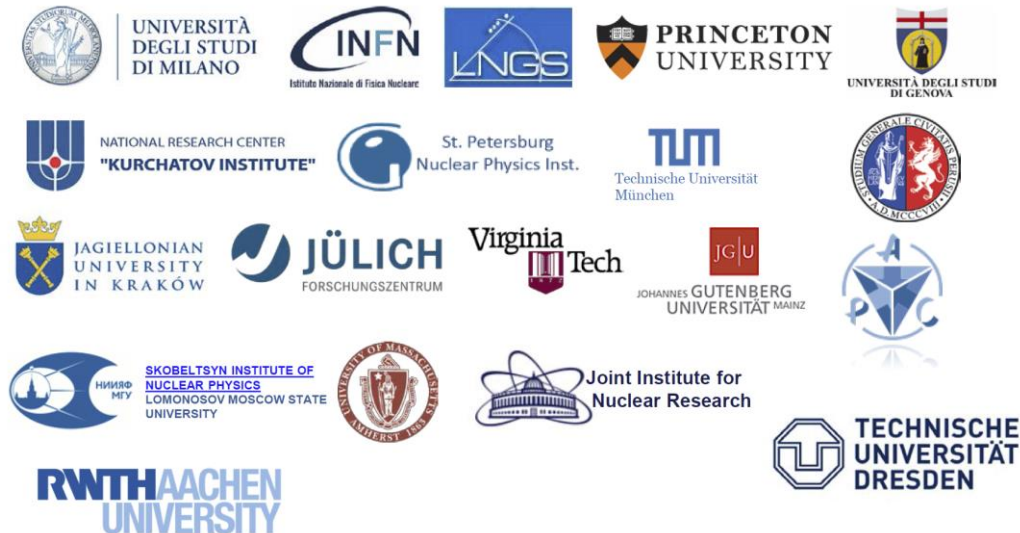




# Experimental detection of the CNO cycle

**M. Misiaszek**

**Jagiellonian University, Cracow**

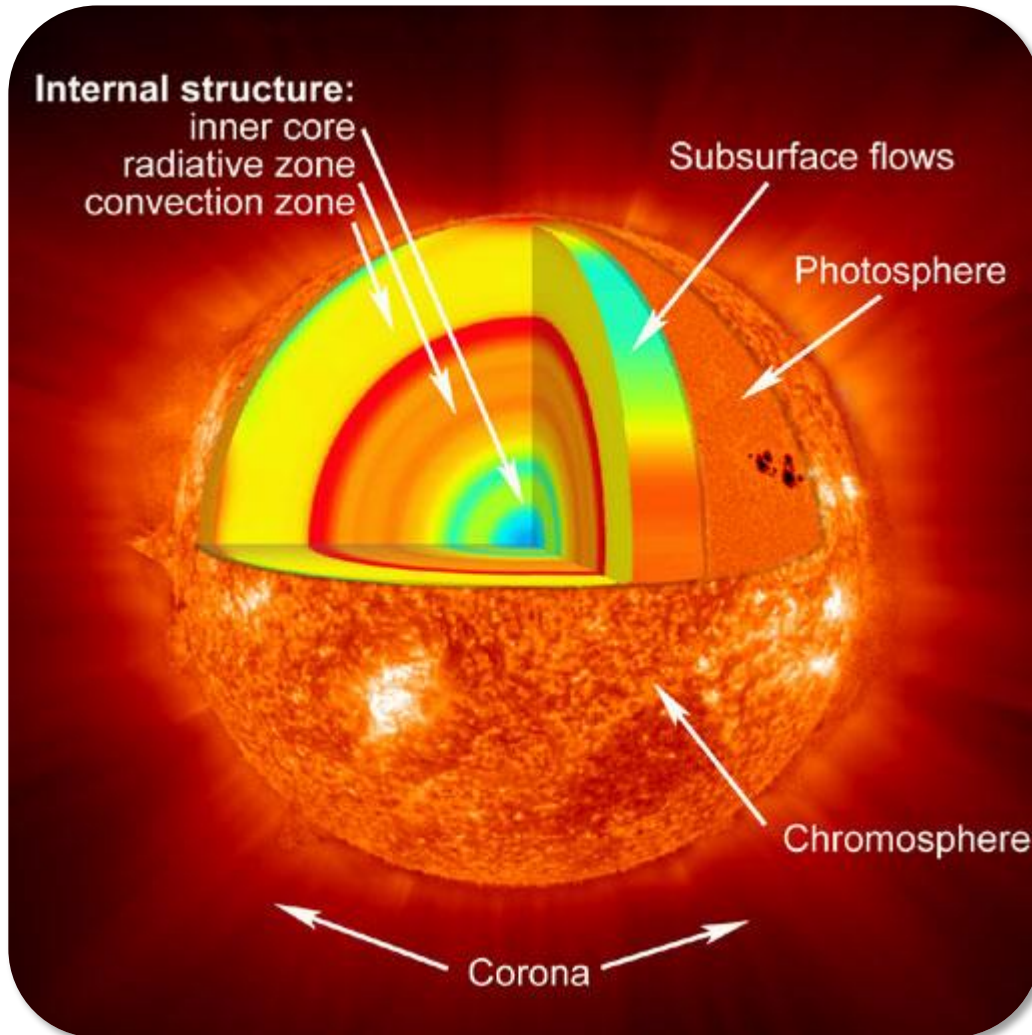


**UJ Particle Physics Phenomenology and Experiments Seminar  
Monday September 26<sup>th</sup>, 2022**

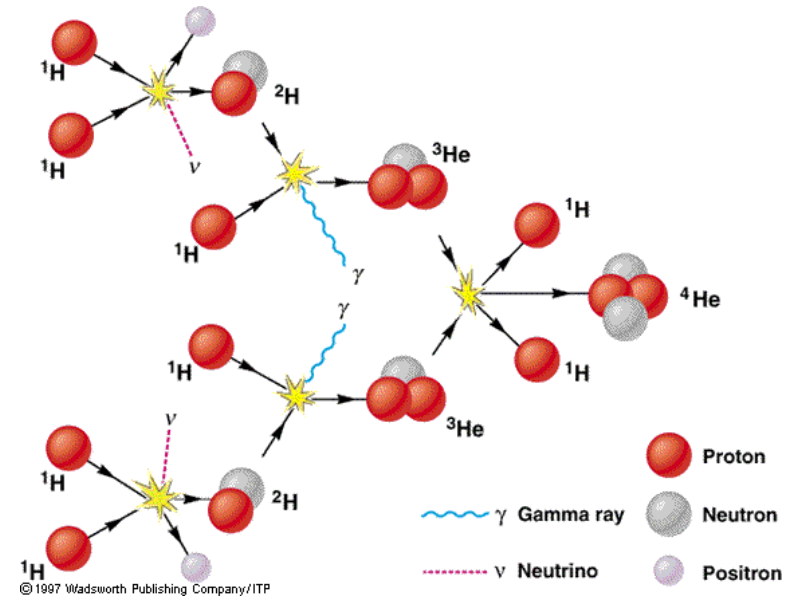
# How does the Sun shine ?

- we should not forget that *all energy* on this planet actually *comes* from the Sun
- In 1937, Gamov and von Weizsäcker suggested that the Sun is powered by a chain of nuclear reactions initiated by proton–proton fusion and leading to the production of  $^4\text{He}$ . This idea was further developed by Bethe and Critchfield. At about the same time von Weizsäcker and independently Bethe proposed an alternative mechanism, namely the carbon–nitrogen–oxygen cycle (CNO cycle)

# Solar $\nu$ as sensitive tool to test solar models



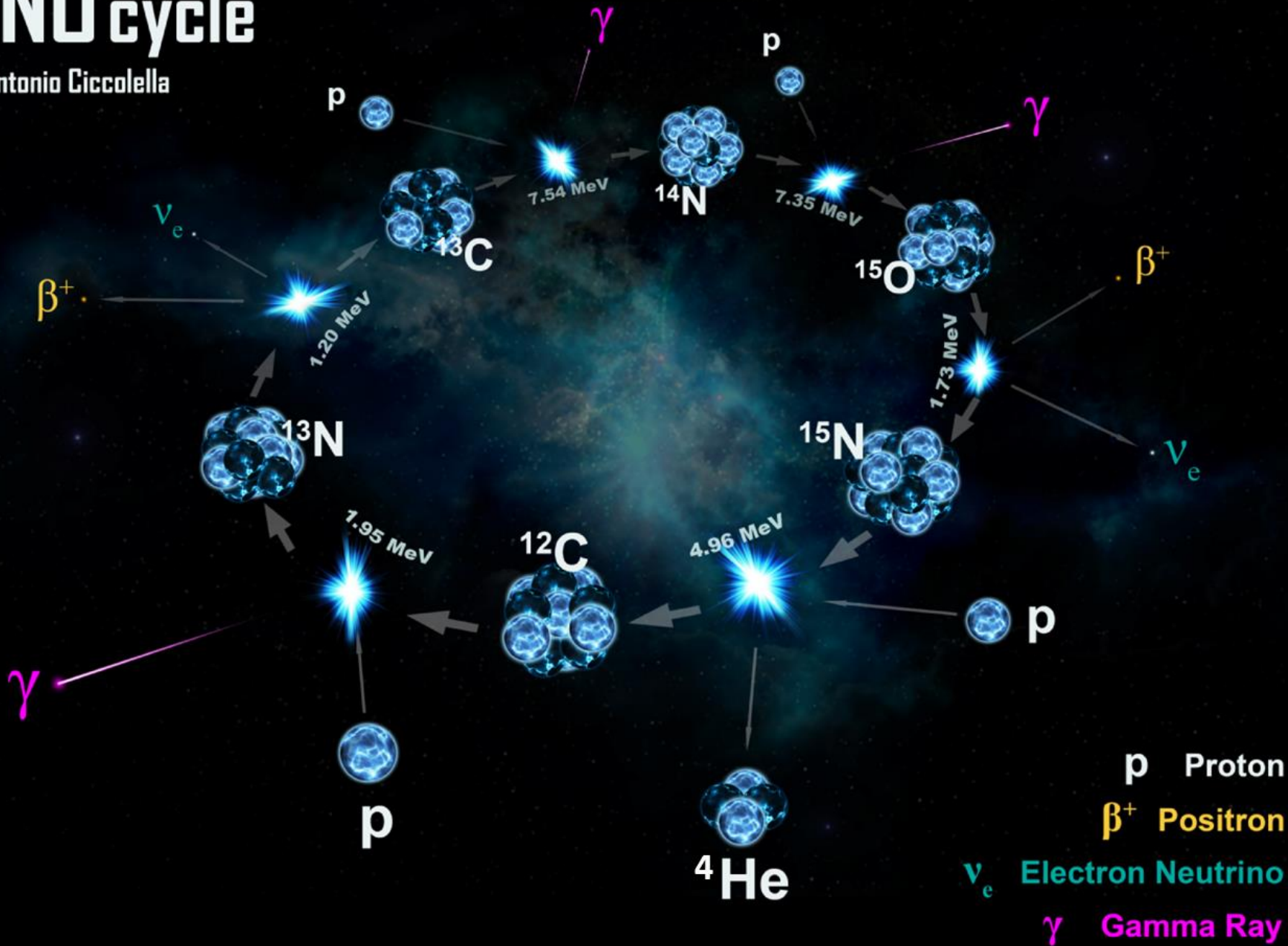
The three cycles of pp chain ( $pp$ -I,  $pp$ -II and  $pp$ -III) are each associated with a characteristic neutrino source. All three cycles begin with the fusion of two protons to form deuterium ( $^2\text{H}$ ), through the ' $pp$ ' and ' $ppe$ ' reactions.



Original motivation of the first experiments on solar  $\nu$  was to test the Standard Solar Model (SSM)

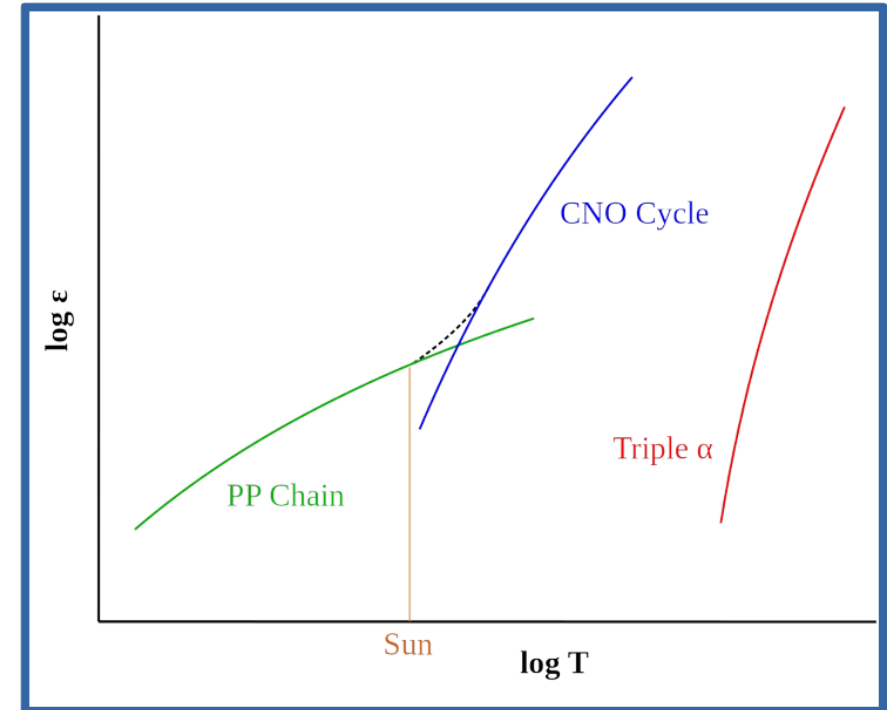
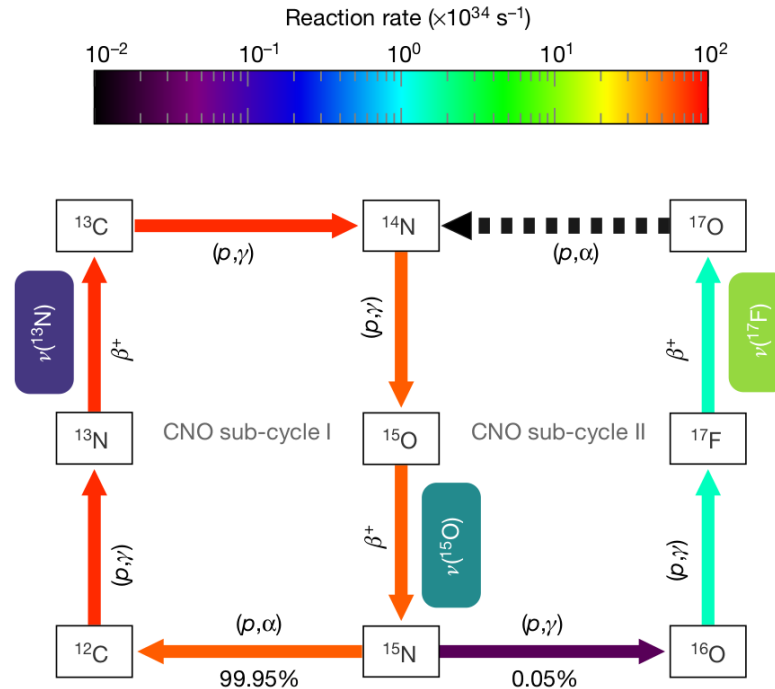
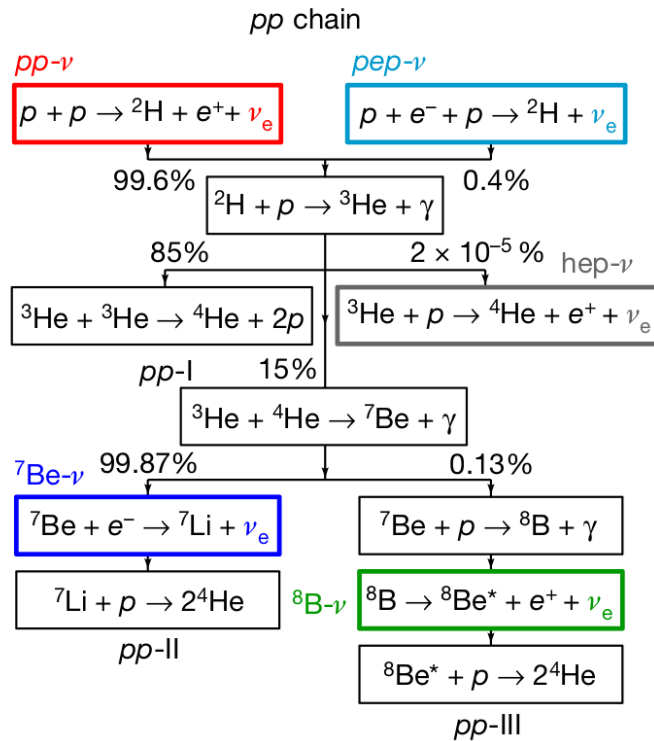
# CNO cycle

by Antonio Ciccolella



CNO cycle is a closed-loop chain of nuclear reactions catalysed by  $^{12}\text{C}$ ,  $^{14}\text{N}$  and  $^{16}\text{O}$  nuclei in which four protons are converted into  $^4\text{He}$ .

# PP vs CNO Competition



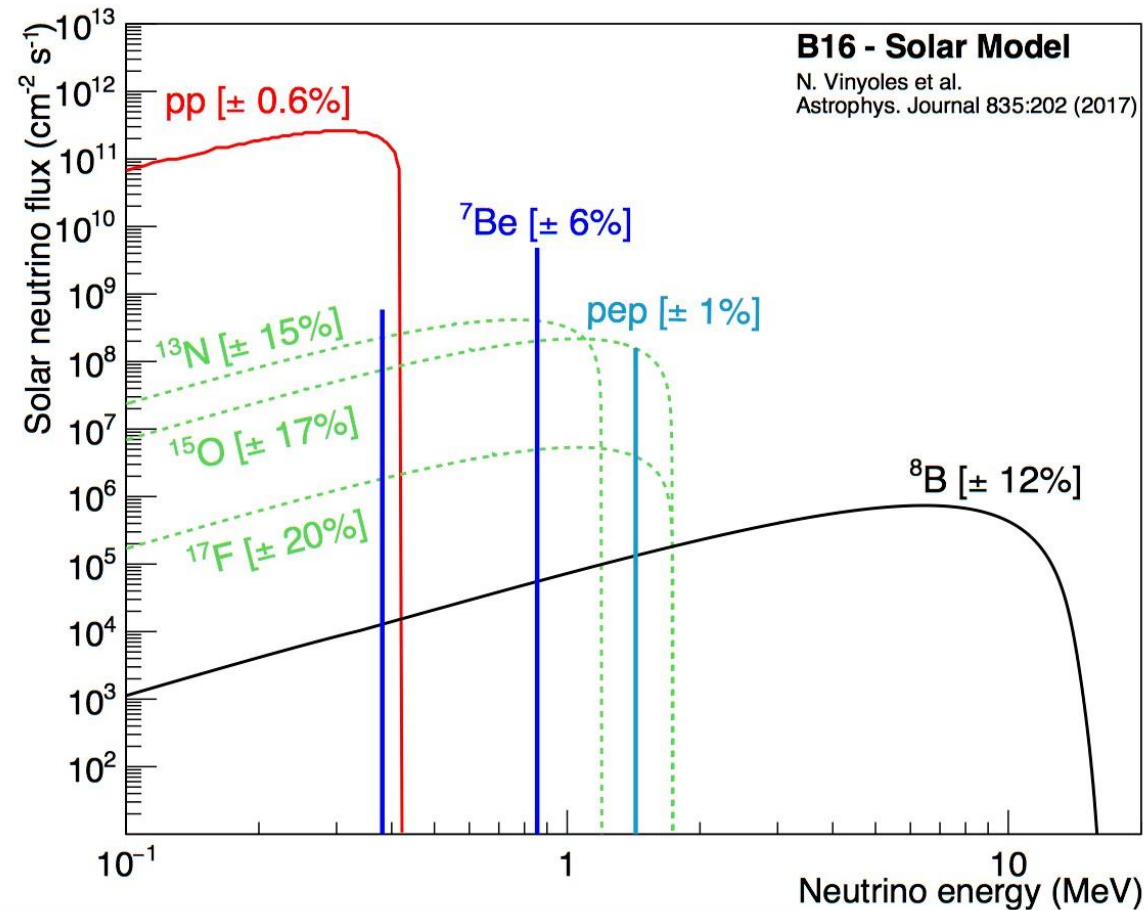
- Intense neutrinos from nuclear fusion in the Sun's core
- Majority (99%) from pp-chain with subdominant contribution from CNO cycle
- What's left in solar neutrinos?
  - Help understanding solar interior (metallicity problem)
- **Precision test of the MSW oscillation model**
  - Precise measurement of spectrum at the vacuum-to-matter transition region
  - Measurement of Day/Night asymmetry

The CNO cycle dominates in stars heavier than 1.3 M

# Solar neutrinos as sensitive tool to test solar models: expected fluxes

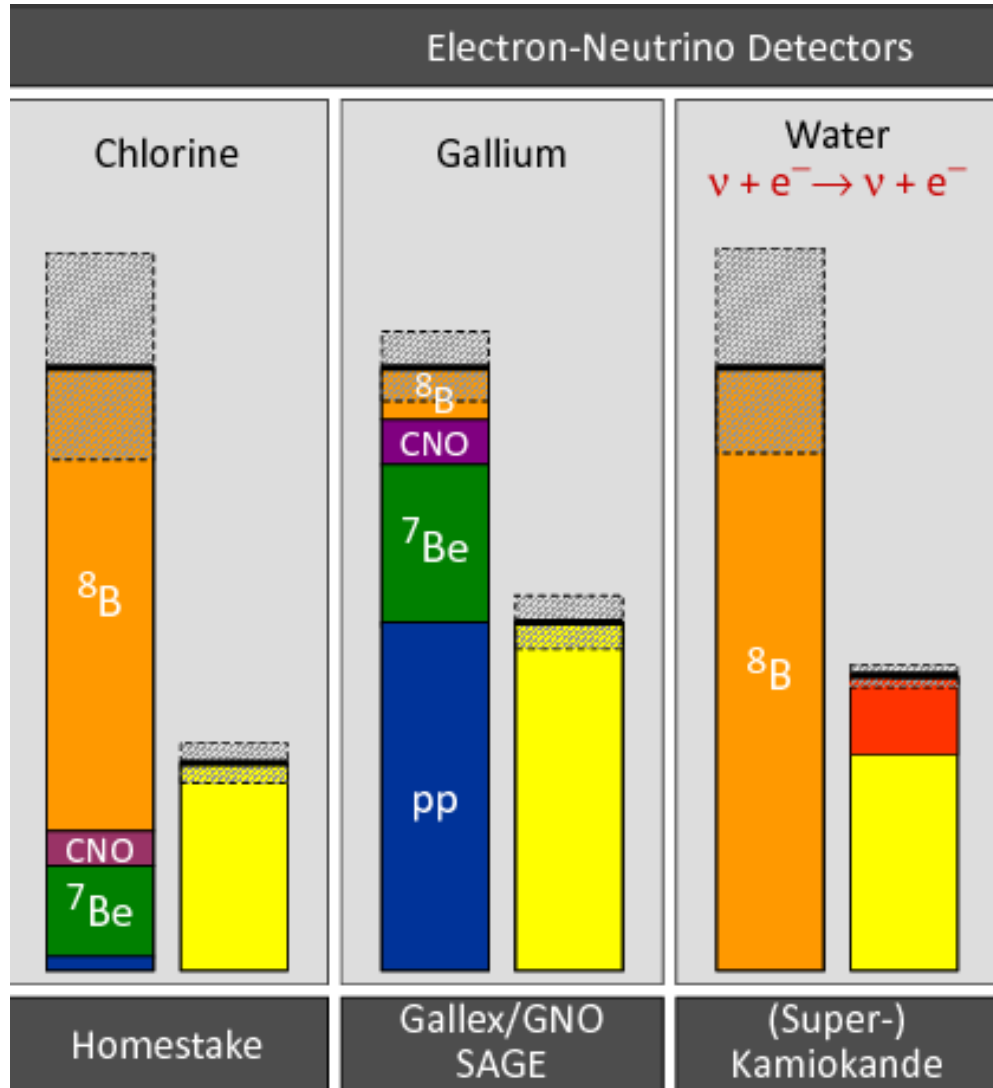
FLUX	B16-GS98
pp ( $10^{10} \text{ cm}^{-2} \text{ s}^{-1}$ )	5.98(1±0.006)
pep ( $10^8 \text{ cm}^{-2} \text{ s}^{-1}$ )	1.44(1±0.01)
$^7\text{Be}$ ( $10^9 \text{ cm}^{-2} \text{ s}^{-1}$ )	4.94(1±0.06)
$^8\text{B}$ ( $10^6 \text{ cm}^{-2} \text{ s}^{-1}$ )	5.46(1±0.12)
$^{13}\text{N}$ ( $10^8 \text{ cm}^{-2} \text{ s}^{-1}$ )	2.78(1±0.15)
$^{15}\text{O}$ ( $10^8 \text{ cm}^{-2} \text{ s}^{-1}$ )	2.05(1±0.17)
$^{17}\text{F}$ ( $10^6 \text{ cm}^{-2} \text{ s}^{-1}$ )	5.29(1±0.20)

N. Vinyoles et al.,  
*Astrophys. J.* 835 (2017) 202



Original motivation of the first experiments on solar  $\nu$  was to test the Standard Solar Model (SSM)

# The solar neutrino problem: Homestake/Kamioka/Gallex-Sage



- Wrong experiments?
- Nuclear physics solution?
- If neutrinos are massive: flavour oscillations? ✓

(years: 1970-2000)

Solar Neutrino Problem:

Fluxes 1/2 or 1/3  
of expectations!

# The Borexino Collaboration



St. Petersburg Nuclear Physics Inst.



JOHANNES GUTENBERG UNIVERSITÄT MAINZ



UNIVERSITÀ DEGLI STUDI DI GENOVA



GRAN SASSO SCIENCE INSTITUTE  
CENTER FOR ADVANCED STUDIES  
Istituto Nazionale di Fisica Nucleare



TECHNISCHE UNIVERSITÄT DRESDEN



JAGIELLONIAN UNIVERSITY IN KRAKÓW



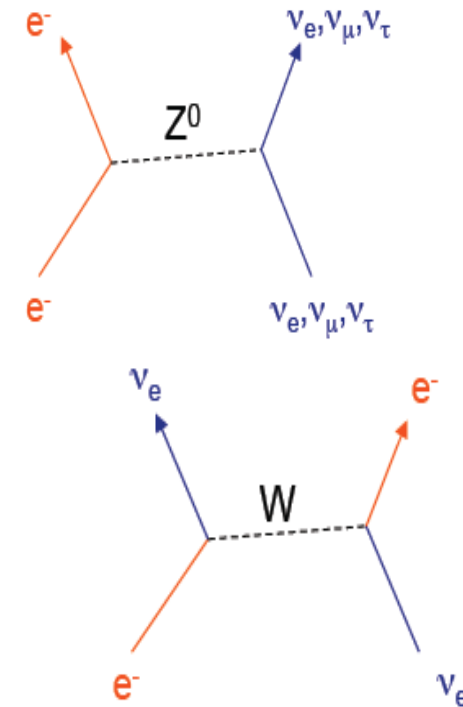
POLITECNICO MILANO 1863





# BOREXINO Detector – Detection principle

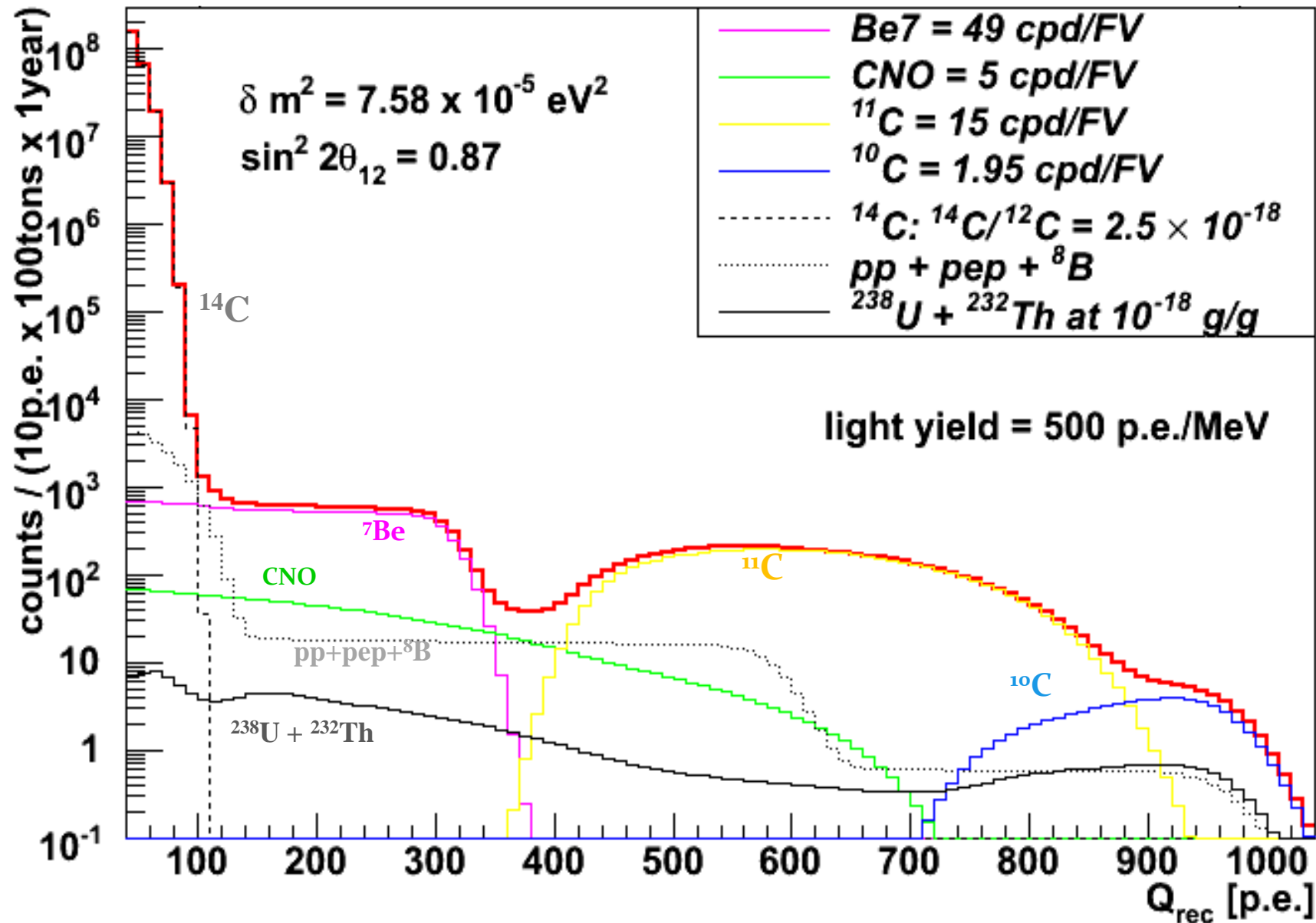
- Neutrino elastic scattering on electrons of liquid scintillator:  $e^- + \nu \rightarrow e^- + \nu$ ;
- Scattered electrons cause the scintillation light production;
- Advantages:
  - Low energy threshold ( $\sim 0.2$  MeV);
  - High light yield and a good energy resolution;
  - Good position reconstruction;
- Drawbacks :
  - Info about the  $\nu$  directionality is lost;
  - $\nu$ -induced events can't be distinguished from the events of  $\beta/\gamma$  natural radioactivity;
  - The expected rate of solar neutrinos in 100 tons of BX scintillator is  $\sim 40$  counts/day which corresponds to  $\sim 5 \cdot 10^{-9}$  Bq/kg



**Extreme radiopurity is a must for a precision low energy neutrino spectroscopy.**

- E.g.:
- Rn in air  $\sim 10$  Bq/kg
  - Natural water  $\sim 10$  Bq/kg
  - Rn in Borexino  $\sim 1 \times 10^{-10}$  Bq/kg

# The expected signal and the irreducible background



# BOREXINO Detector

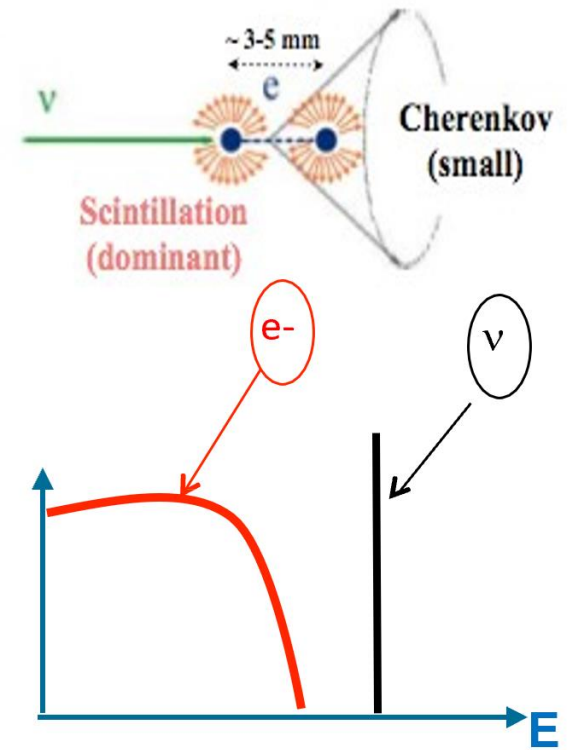
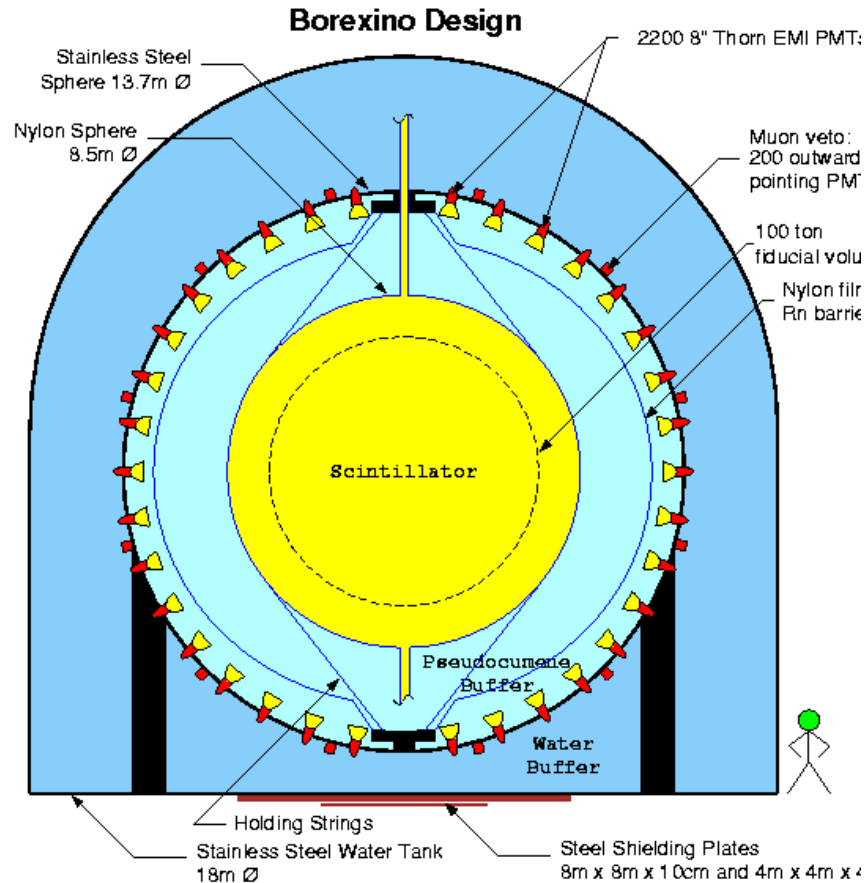
Core of the detector: 300 tons of liquid scintillator contained in a nylon vessel of 4.25 m radius (PC+PPO);

1<sup>st</sup> shield: 1000 tons of ultra-pure buffer liquid (pure PC) contained in a stainless steel sphere of 7 m radius;

2214 photomultiplier tubes pointing towards the center to view the light emitted by the scintillator;

2<sup>nd</sup> shield: 2000 tons of ultra-pure water contained in a cylindrical dome;

200 PMTs mounted on the SSS pointing outwards to detect light emitted in the water by muons crossing the detector;



**We measure the energy carried away by the electron!**

**Borexino is located inside the Gran Sasso mountain in Italy**

# The Borexino Saga

**1990:** idea of a sub-Mev solar neutrino detector. A real time neutrino detection

**1995:** CTF testing the record radiopurity  
 $^{238}\text{U}, ^{232}\text{Th} < 10^{-16} \text{ g/g}$   
 $^{14}\text{C}/^{12}\text{C} < 10^{-18}$

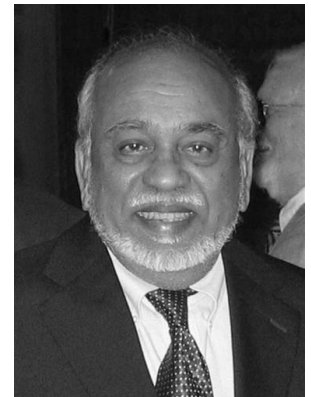
**1996-1997:** Approval of the experiment

**Mid-2007:** Beginning of the data taking

Great care in selecting **radiopure materials** (structure and scintillator) and unprecedented **purification techniques**:  
distillation, N<sub>2</sub> stripping, water extraction

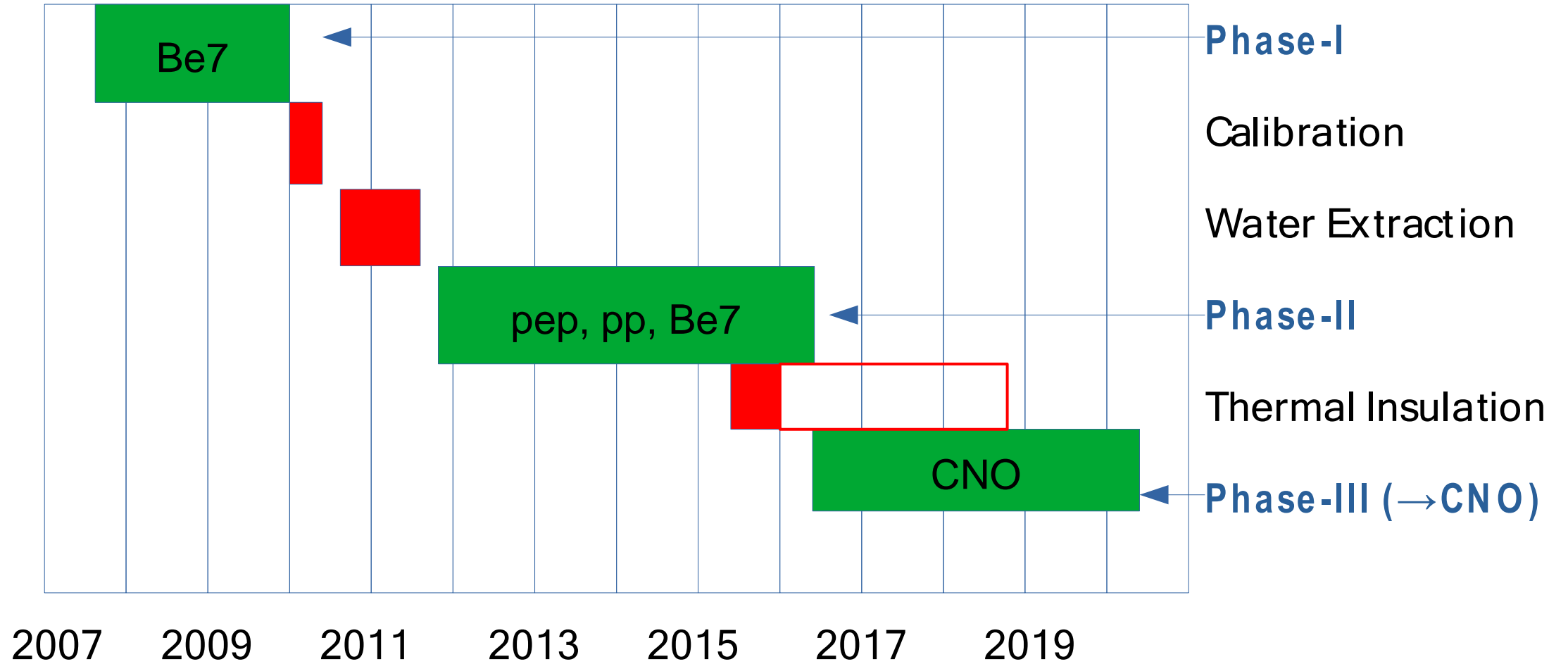


Gianpaolo  
Bellini

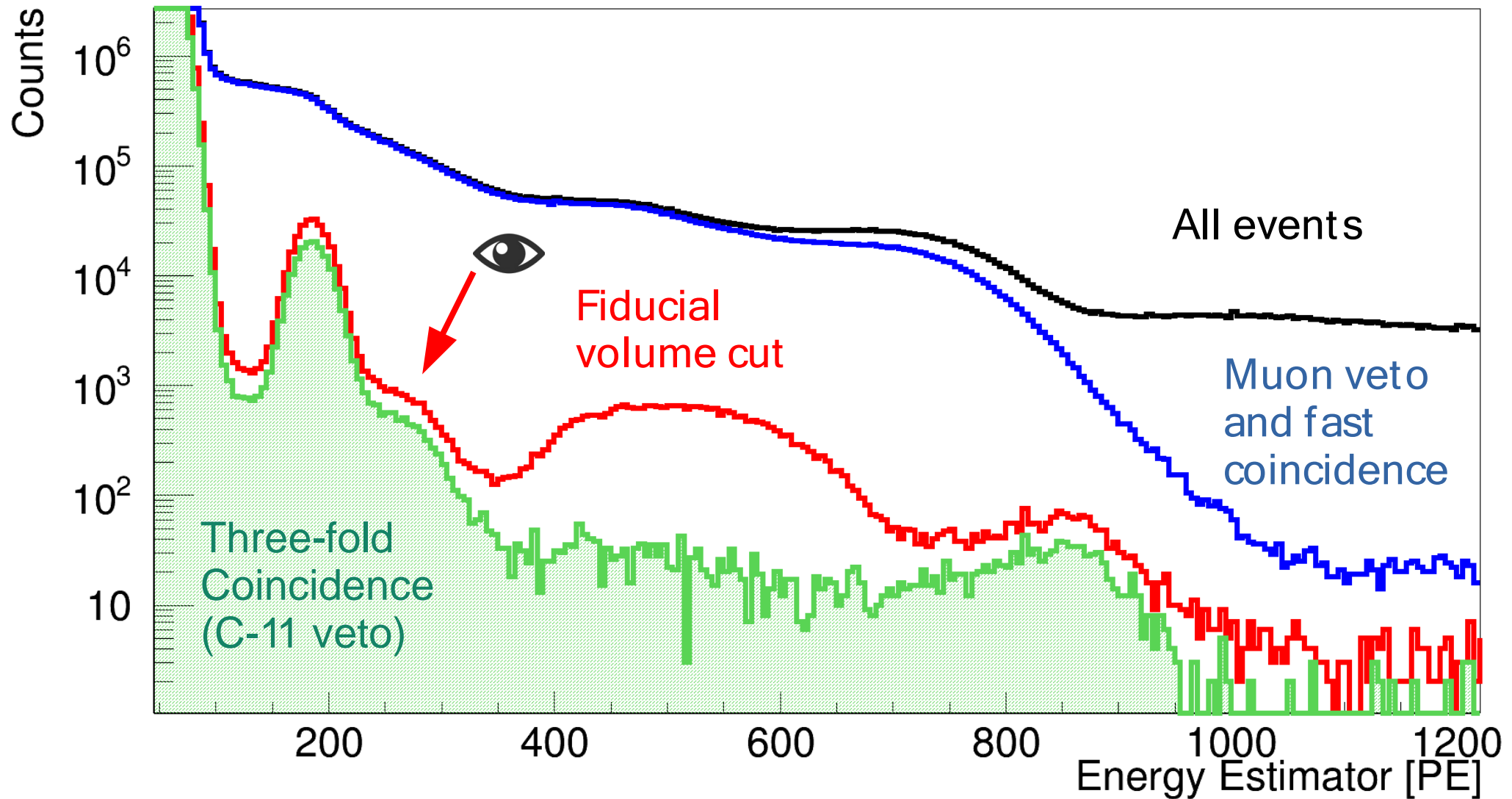


Raju Raghavan  
(1937-2011)

# The three ages of Borexino

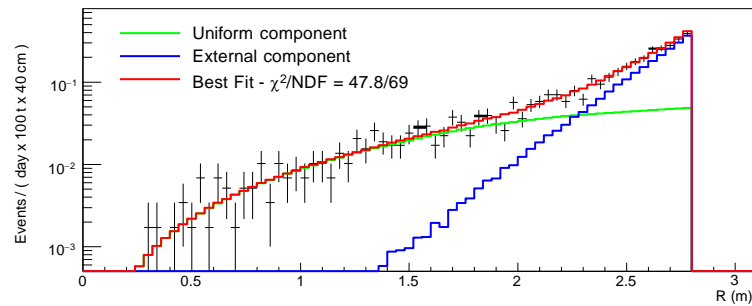


# The Borexino Energy Spectrum

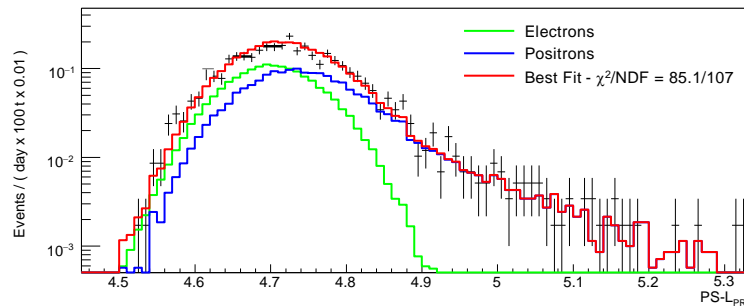


# Results : example of multivariate fit of the data

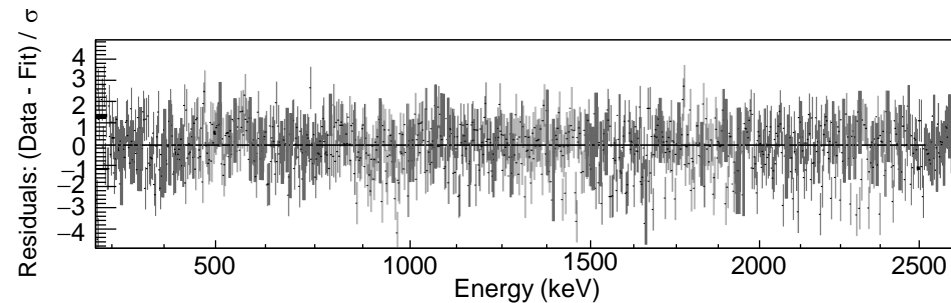
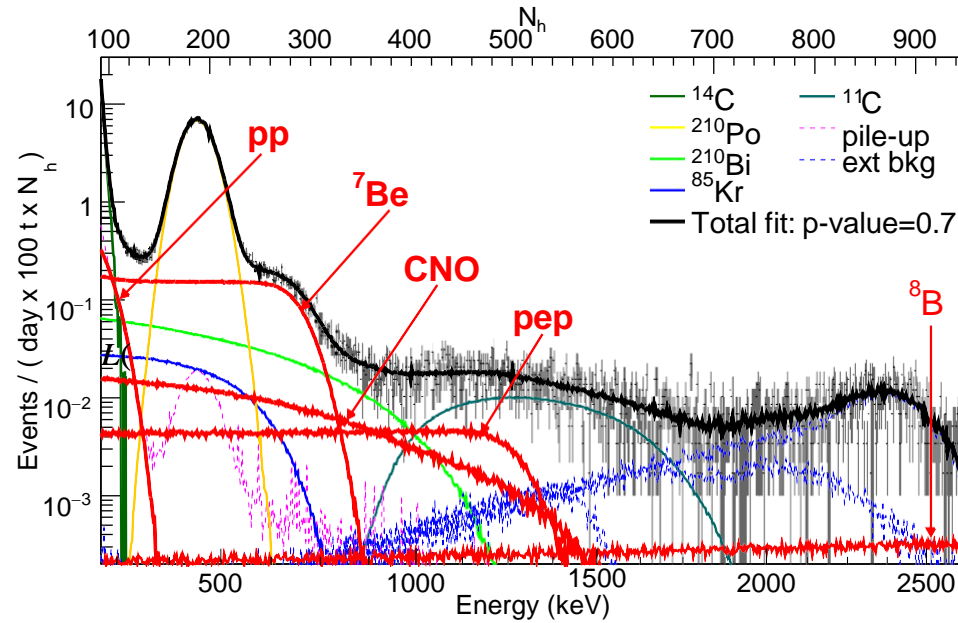
## Radial distribution



## PS-L<sub>PR</sub>

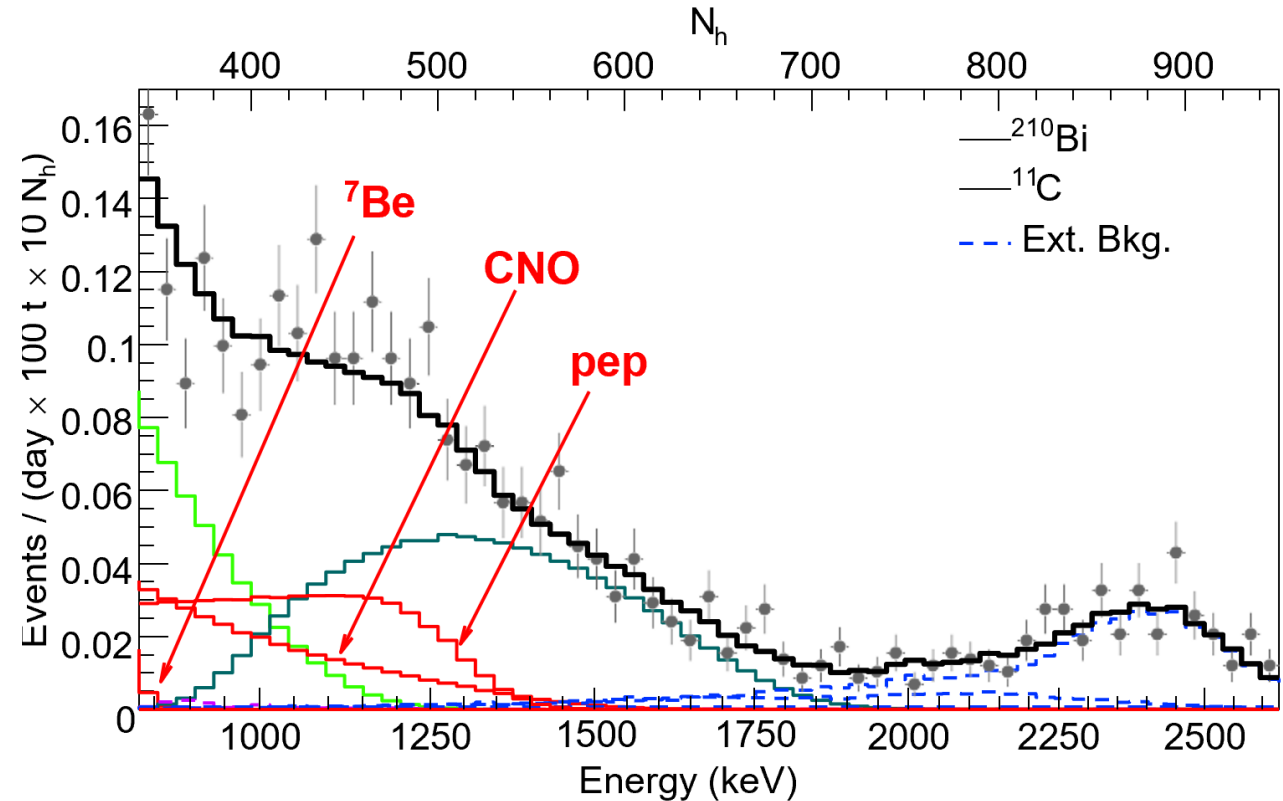
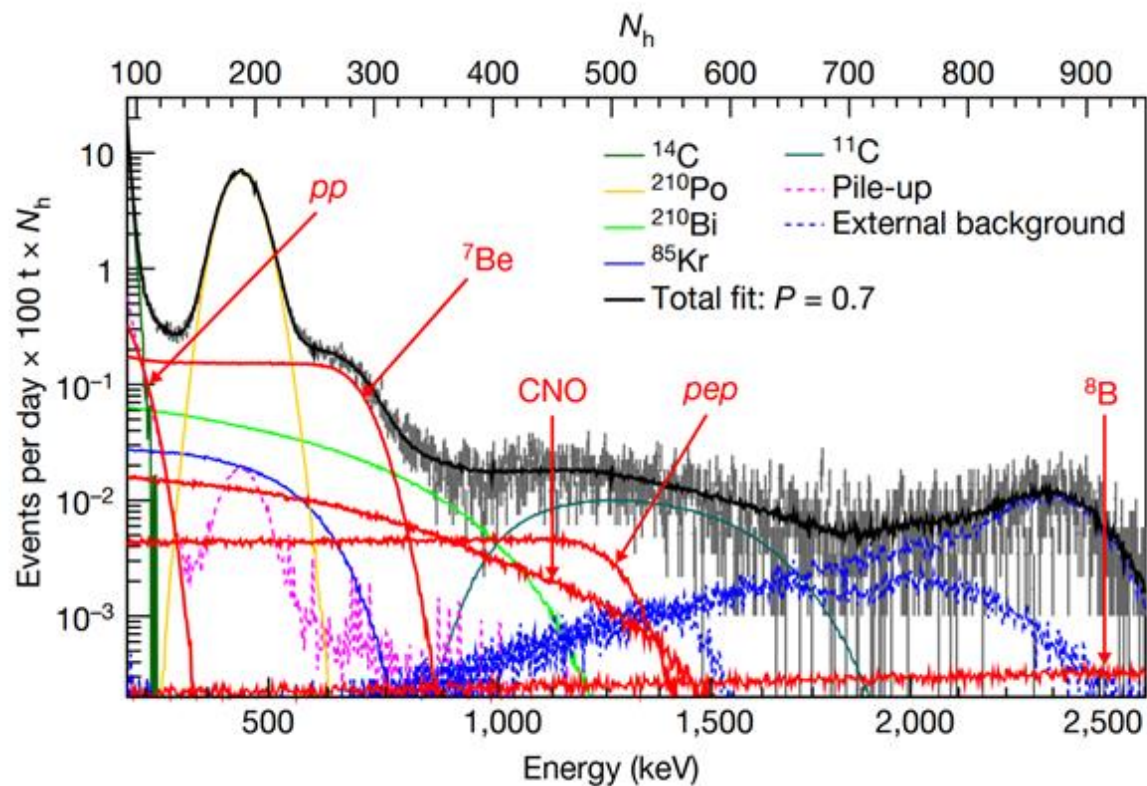


## Energy spectrum



# BOREXINO - real-time solar neutrino spectroscopy

Selected the innermost  $\beta$ -like events  
*Radius < 2.4 m Ps-LPR < 4.8*



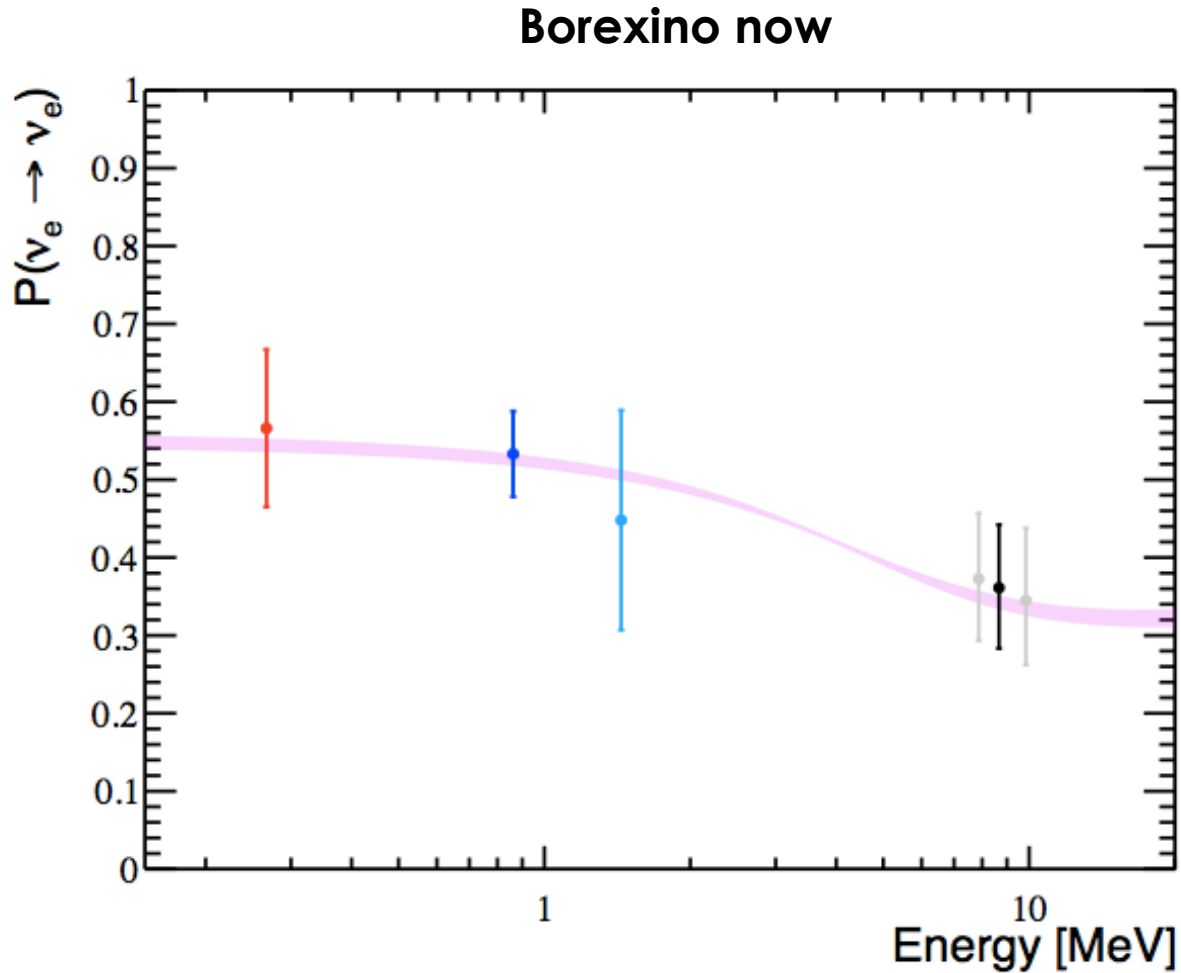
The Borexino Collaboration. *Comprehensive measurement of pp-chain solar neutrinos. Nature 562*, 505–510 (2018)

From the measured interaction rates and assuming HZ-SSM fluxes we get electron neutrino survival probability from 60 keV to >10 MeV.

- $P_{ee}(pp) = 0.57 \pm 0.10$   $P_{ee}(^7\text{Be}, 862\text{keV}) = 0.53 \pm 0.05$
- $P_{ee}(pep) = 0.43 \pm 0.11$   $P_{ee}(^8\text{B}) = 0.37 \pm 0.08$

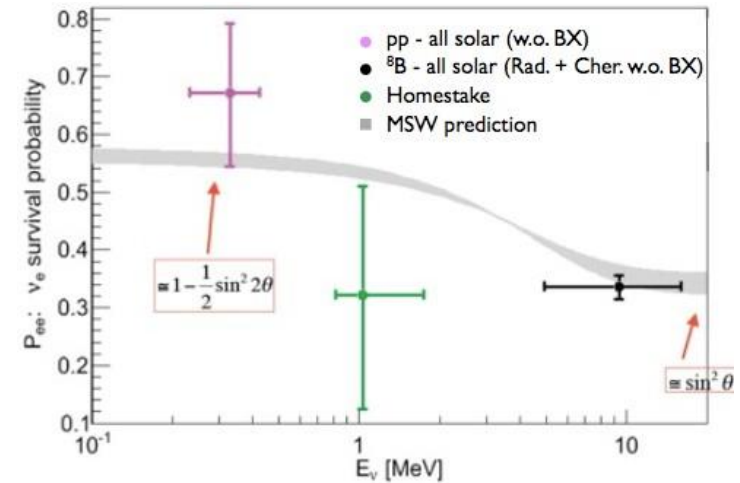


# $P_{ee}$ : Borexino impact



$P_{ee}$  - electron neutrino survival probability

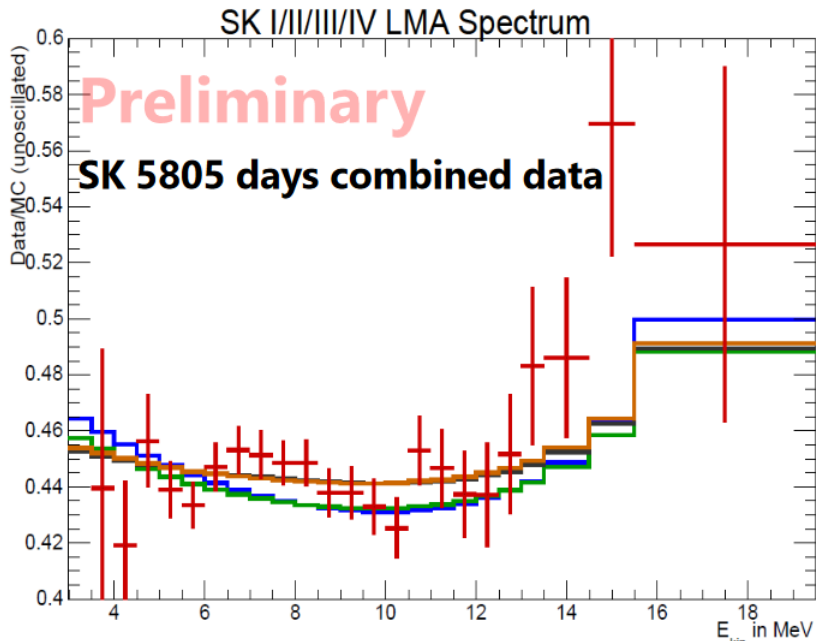
## Before Borexino



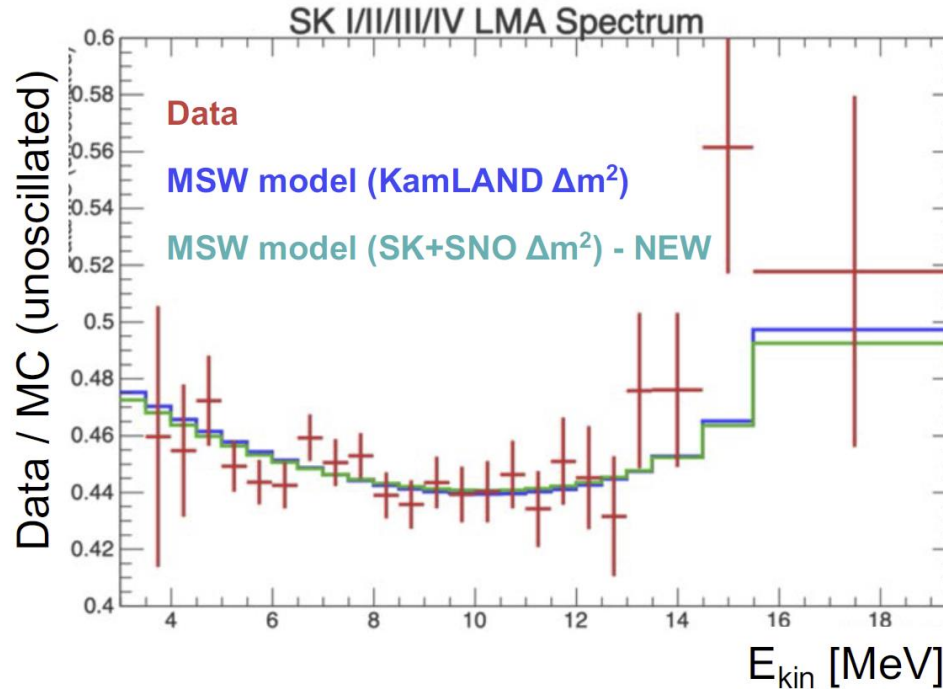
1. Borexino has measured the electron neutrino  $P_{ee}$  in the *vacuum regime*, where, according to the MSW- LMA model, the vacuum dominates
2. The Borexino data allowed to probe the vacuum– matter transition from a single experiment.
3. Despite the uncertainty of the various points, that incorporate both the experimental errors and the SSM uncertainties, the experimental results seem in agreement with the predictions of the MSW-LMA model.

# New spectrum and Day/Night asymmetry measurements to test MSW

- Energy dependent survival probability  $P_{ee}$



TAUP 2019 - Yuuki Nakano and for the Super-Kamiokande collaboration 2020 J. Phys.: Conf. Ser. 1468 012189



TAUP 2021 – Livia Ludhova talk: Solar and Geoneutrinos

- Day/Night effect

$$A_{DN}^{Fit} = (-3.6 \pm 1.6(stat) \pm 0.6(syst)) \% \rightarrow A_{DN}^{Fit} = (-2.1 \pm 1.1) \%$$

Neutrino 2020 Yasuhiro Nakajima Recent results and future prospects from Super-Kamiokande

Data/MC ratio at  $E < 6$  MeV slightly shifted upward

Shift of prediction due to improved detector simulation. Added statistics due to improved spallation cut.

Event migration due to new reconstruction

Day/Night asymmetry shift

Previous analysis used data up to Feb 2014 (SK-IV: 1664 days)

Added  $\sim 1200$  days of data fluctuated towards smaller D/N asymmetry

**Both impacted to the shift of best fit  $\Delta m^2_{21}$**

# SuperK - Oscillation Parameter Extraction

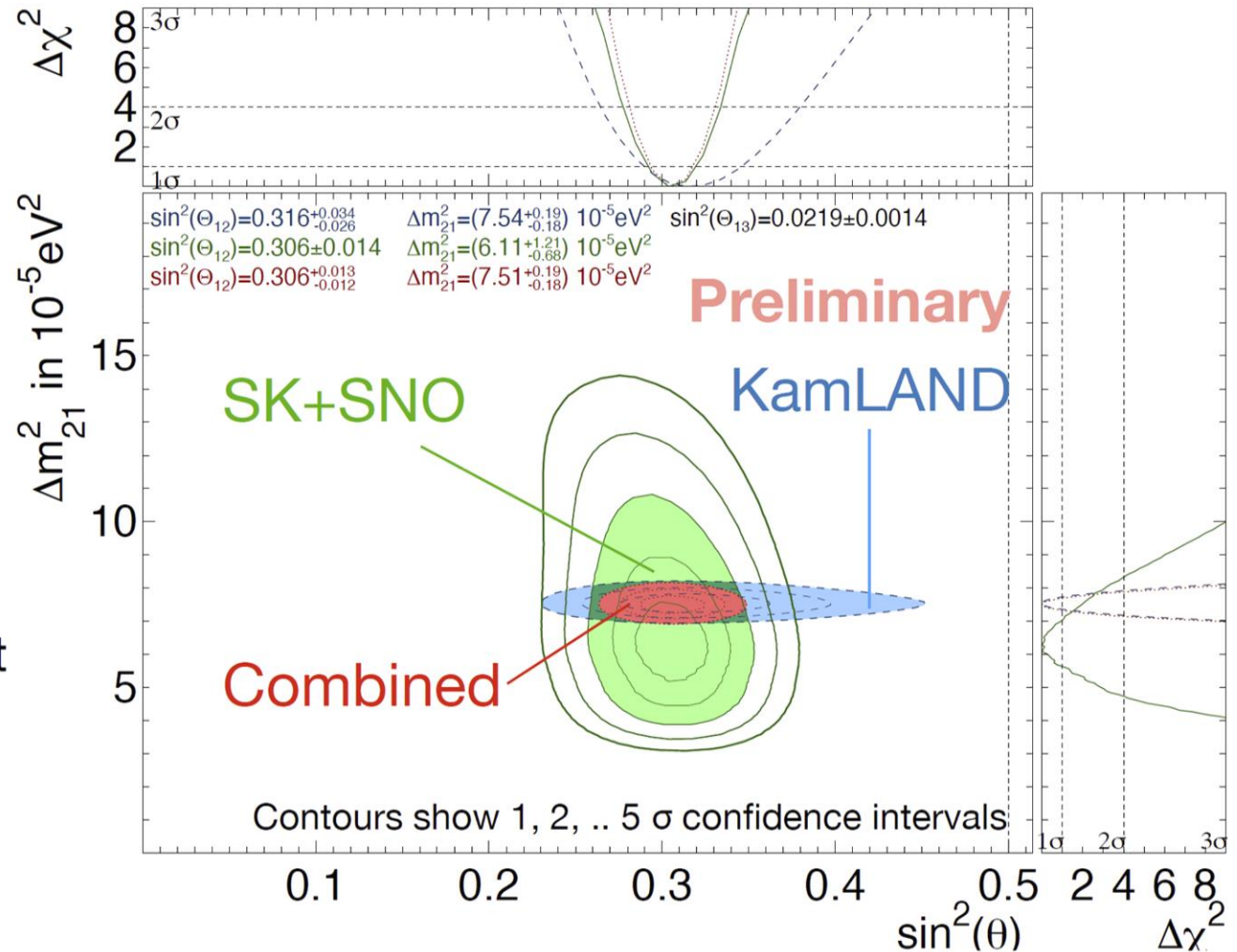
- use rate, spectral and day/night rate variation • larger value of  $\Delta m^2$  than before
- less tension ( $1.4 \sigma$ ) with KamLAND (reactor antinu) -

- Oscillation parameters extracted by combining all SK data, as well as SNO and KamLAND data

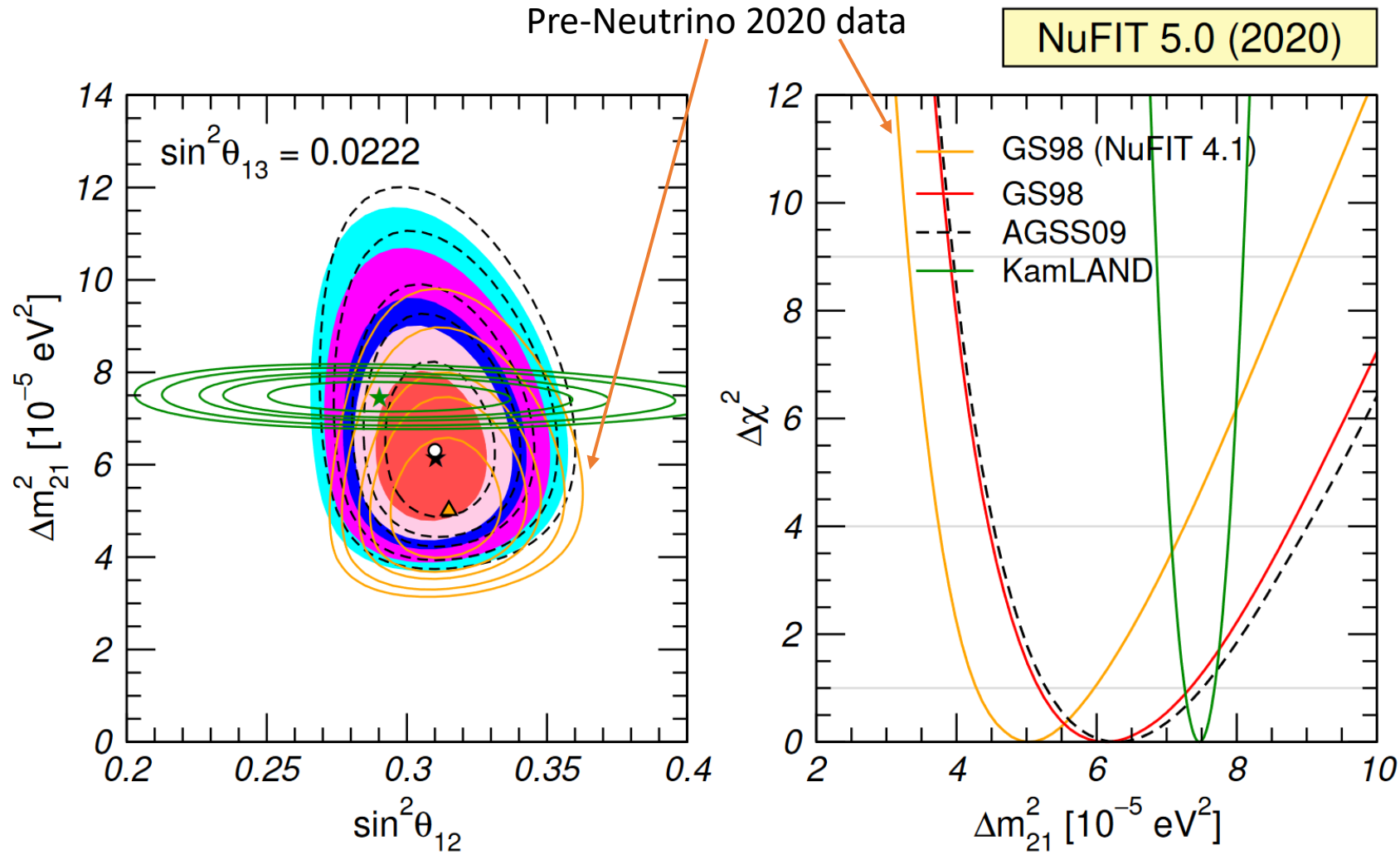
	$\sin^2(\theta_{12})$	$\Delta m^2_{21}$ [ $10^{-5} \text{ eV}^2$ ]
<b>KamLAND</b>	$0.316^{+0.034}_{-0.026}$	$7.54^{+0.19}_{-0.18}$
<b>SK+SNO</b>	$0.306 \pm 0.014$	$6.11^{+1.21}_{-0.68}$
<b>Combined</b>	$0.306^{+0.013}_{-0.012}$	$7.51^{+0.19}_{-0.18}$

- Consistent  $\theta_{12}$  values among experiments
- Solar best fit  $\Delta m^2_{21}$  lower than KamLAND, but difference is less than the previous analysis.

SK+SNO fit disfavors the KamLAND best fit value at  $\sim 1.4\sigma$  (was  $\sim 2\sigma$ )



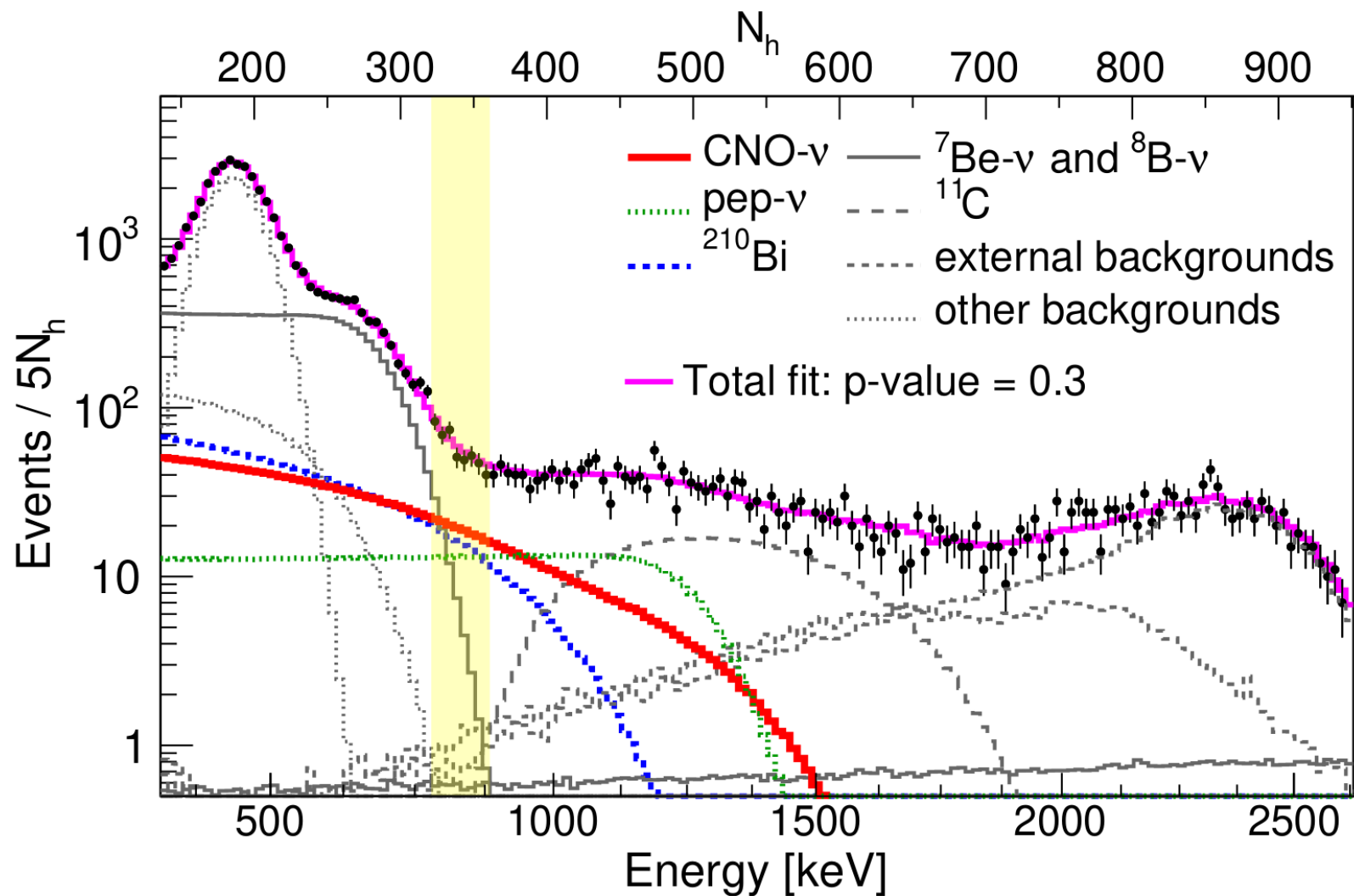
# Resolved tension in the solar sector



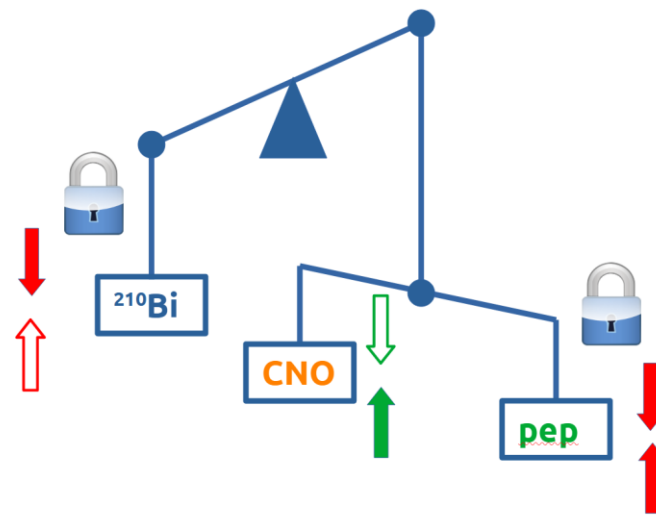
- With the new data the tension between the best fit  $\Delta m_{21}^2$  of KamLAND and that of the solar results has decreased.
- The best fit of KamLAND lies at  $1.14\sigma$  in the analysis with the GS98 fluxes.
- This decrease in the tension is due to both, the smaller day-night asymmetry (and the slightly more pronounced turn-up in the low energy part of the spectrum which lowers it one extra unit).

Esteban, I., Gonzalez-Garcia, M., Maltoni, M. et al. *The fate of hints: updated global analysis of three-flavor neutrino oscillations*. J. High Energ. Phys. 2020, 178 (2020).

# CNO - challenges

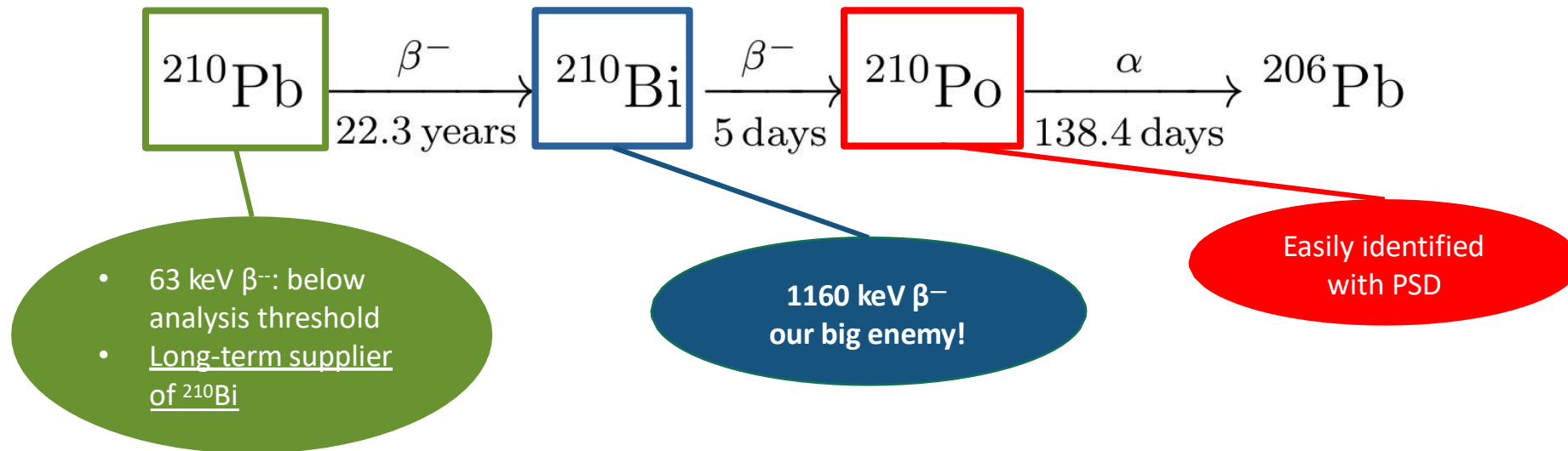


- *pep* rate: gaussian penalty at SSM prediction
- ${}^{210}\text{Bi}$  rate: semi-gaussian penalty at our upper limit



Strategy: independent constraint of *pep* and Bi-210

# Strategy for $^{210}\text{Bi}$ constraint

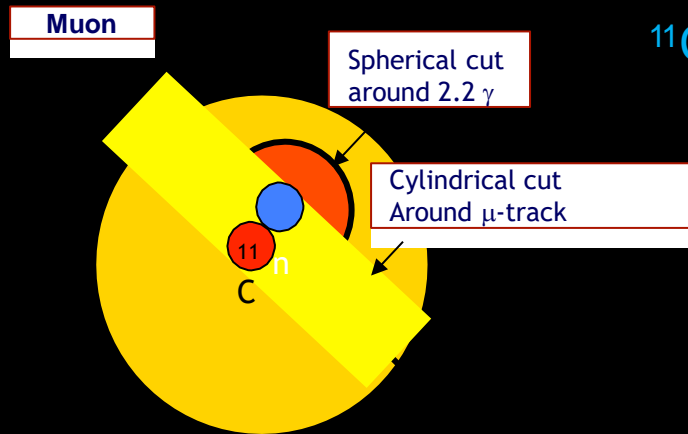


Measuring  $^{210}\text{Po}$  could allow to constraint  $^{210}\text{Bi}$

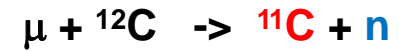
...

If only we had secular equilibrium!

# $^{11}\text{C}$ cut: 1) the three fold coincidence



$^{11}\text{C}$  is produced by muons together with neutron(s);



The likelihood that a certain event is  $^{11}\text{C}$  is obtained using:

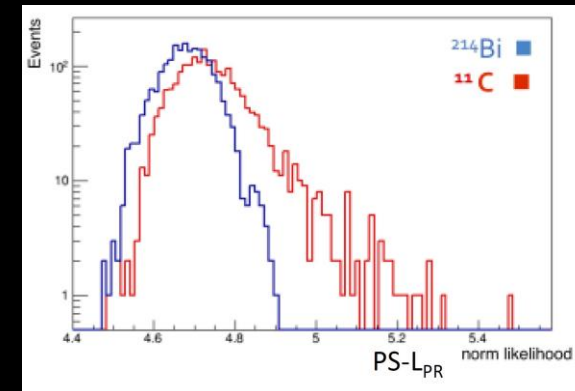
- Distance in space and time from the  $\mu$ -track;
- Distance from the neutron;
- Neutron multiplicity;
- Muon  $dE/dx$  and number of muon clusters in an event;

The data-set is divided in two samples: one depleted in  $^{11}\text{C}$  (TFC-subtracted) and one enriched in  $^{11}\text{C}$  (TFC-tagged) which are simultaneously fit;

## 2) The $\beta^+/\beta^-$ pulse-shape variable $\text{PS-L}_{\text{PR}}$ :

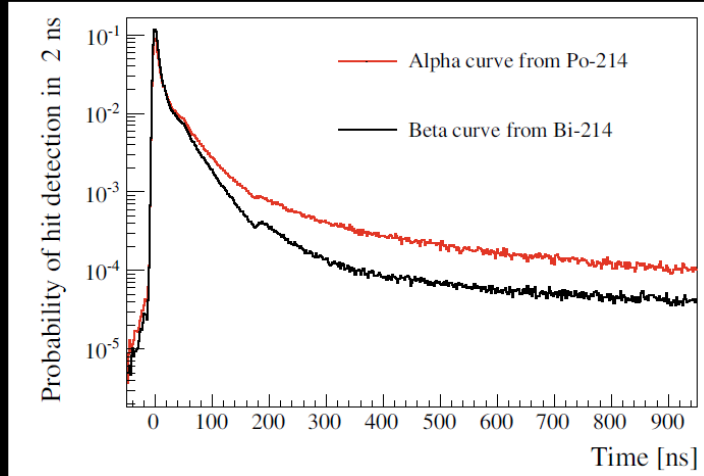
$^{11}\text{C}$  decays  $\beta^+$ : the probability density function (PDF) of the scintillation time profile is different for  $e^-$  and  $e^+$  for two reasons:

- in 50% of the case  $e^+$  annihilation is delayed by ortho-positronium formation ( $\tau \sim 3\text{ns}$ );
- $e^+$  energy deposit is not point-like because of the two annihilation gammas;



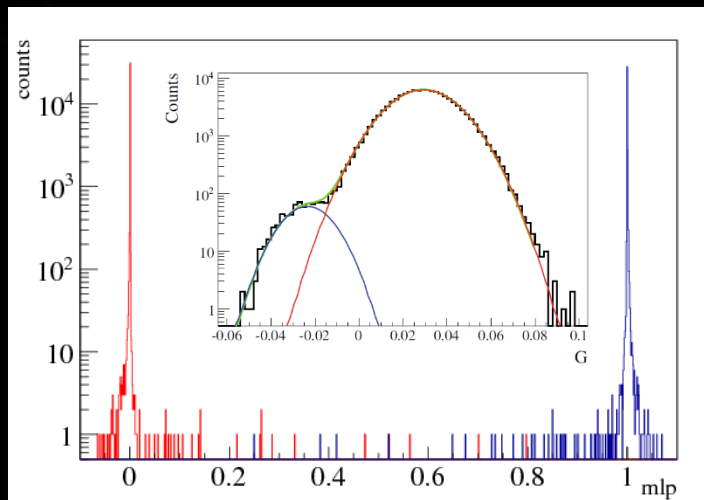
New discrimination parameter based on the output likelihood of the pos-reco algorithm

# $\alpha / \beta$ Discrimination with ANN

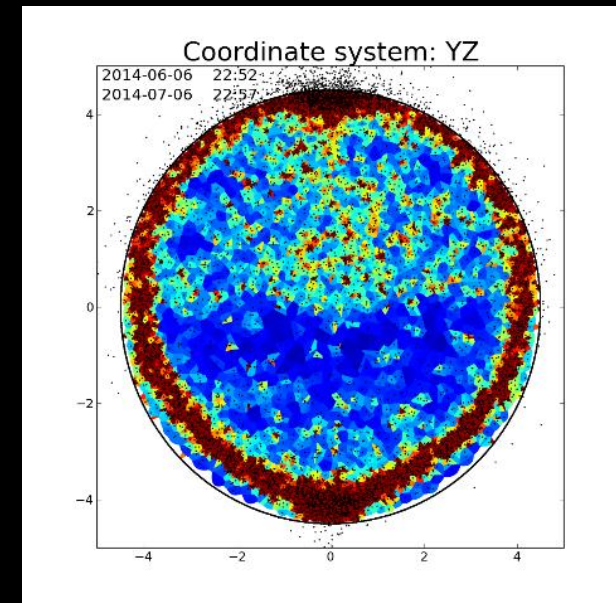


Solved problem of  $\alpha$  discrimination from  $^{210}\text{Po}$  ( $\alpha$ )

Neural networks method

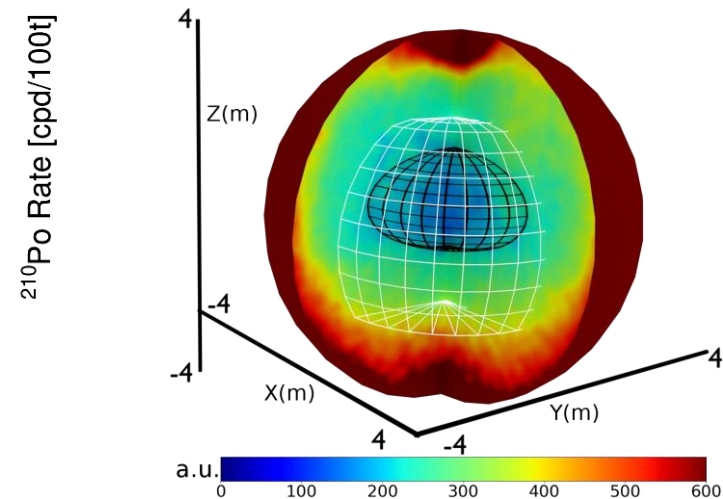
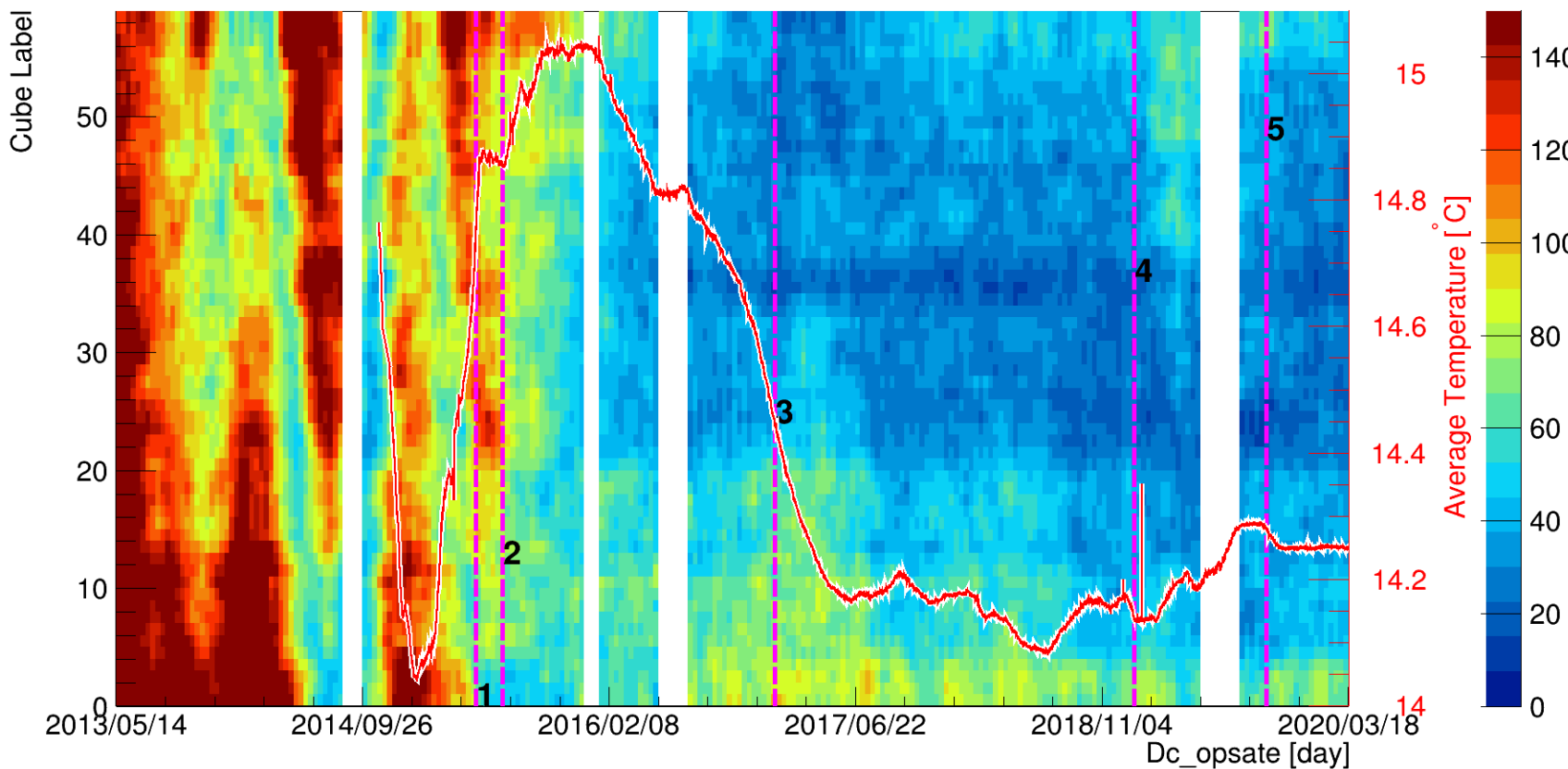


Example of  $\alpha/\beta$   
for  $^{214}\text{Bi}$ - $^{214}\text{Po}$





# The Low Polonium Field

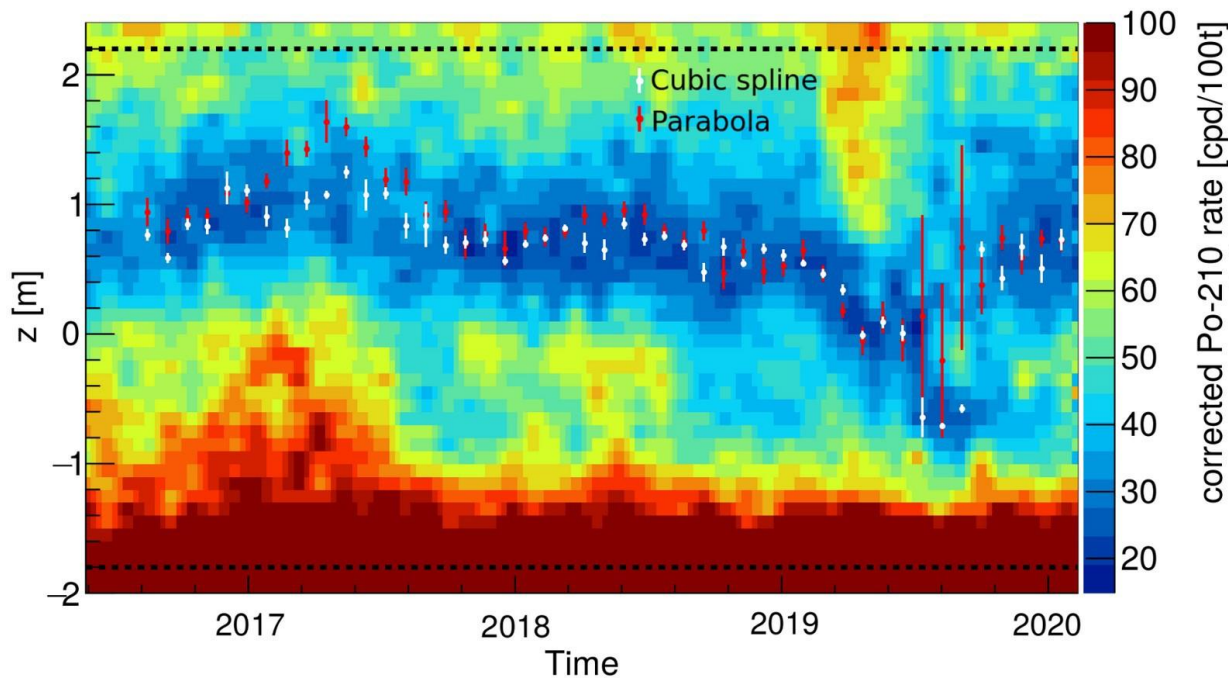


Clean region in the core of the detector is created: LPoF

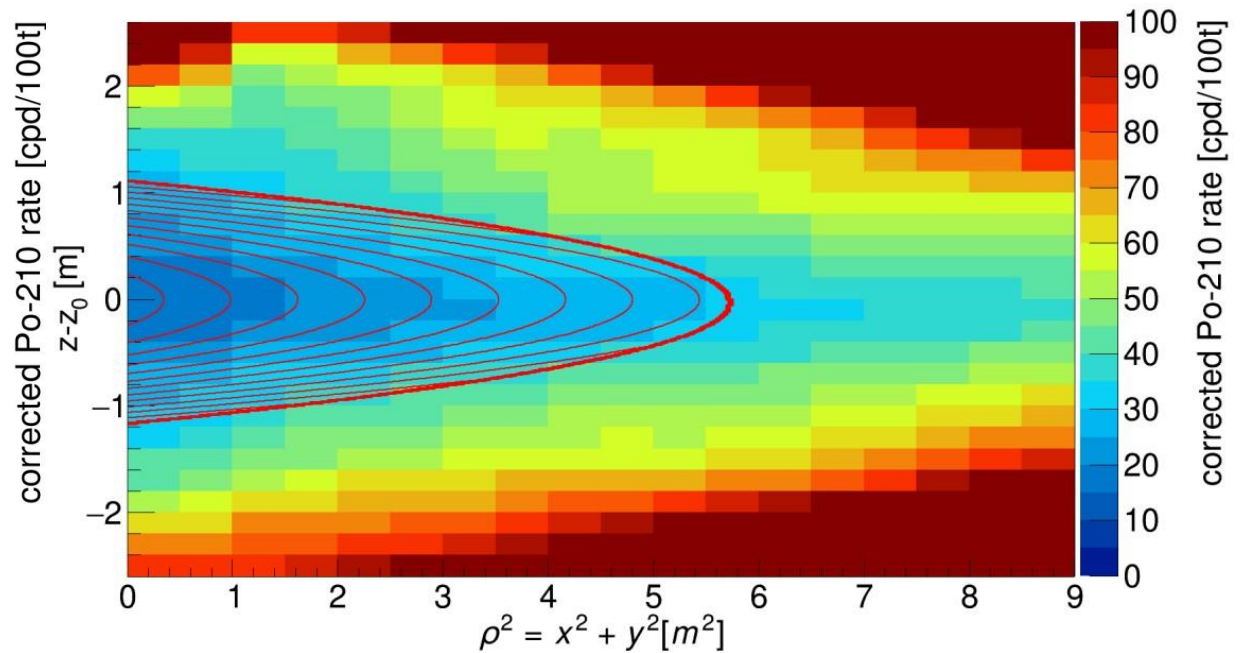
We extract the minimal  $^{210}\text{Po}$  rate value, that is an upper limit on  $^{210}\text{Bi}$  rate as a half-Gaussian constraint in the analysis

$$\text{Bi} < 11.5 \pm 1.04 \text{ cpd}/100\text{t} \text{ (stat + sys)}$$

$$R(^{210}\text{Po}_{\min}) = R(^{210}\text{Bi}) + R(^{210}\text{Po}_{\text{vessel}}) > R(^{210}\text{Bi})$$



Evolution of the Low Polonium Field



Low polonium field after blind alignment

**Method (upper limit):** 2D and 3D fits with paraboloidal model, model independent splines and steady diffusion models. Bias crosscheck with Toy MC.

**Bi < 11.5 +/- 1.04 cpd/100t (stat + sys)**

# Thermal Insulation Program

## Idea:

Strong and stable **vertical gradient** prevents convective motions

## Milestones

**2014:** installation of temperature probes

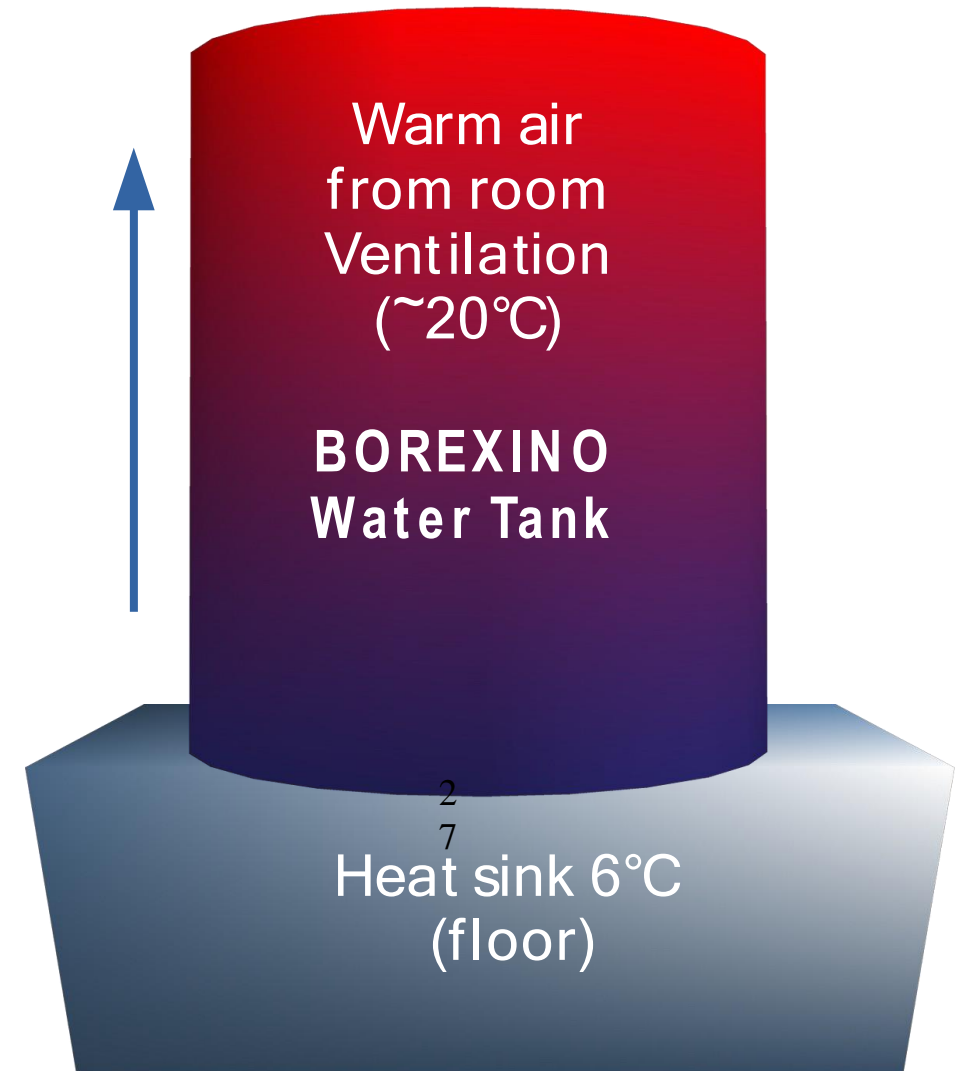
**Mid-2015:** *beginning* of the insulation program

**Late 2015:** turning off of the *water recirculation system* in the water tank;

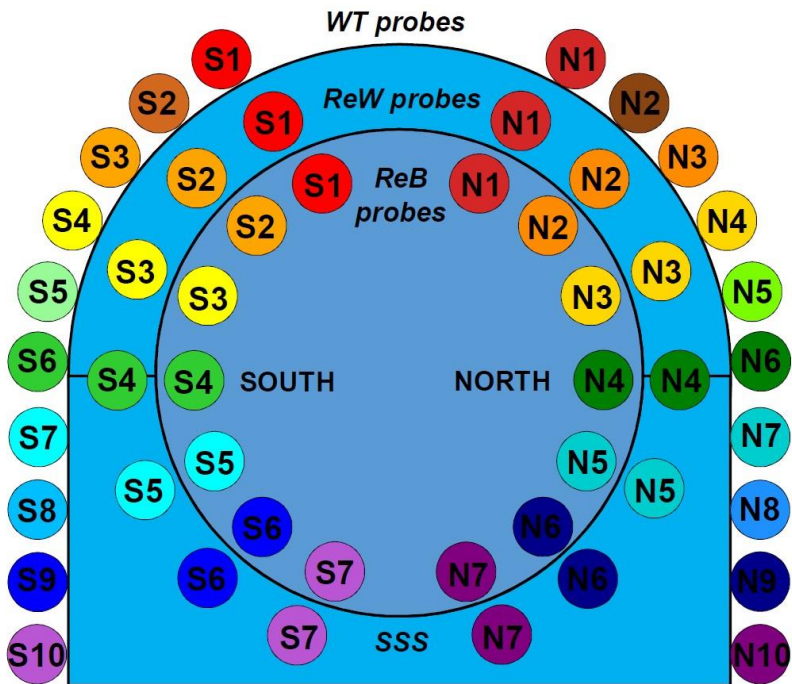
**2016:** first operation of the *active temperature control system* (ATCS)

**Early 2019:** change of the active control *set point*

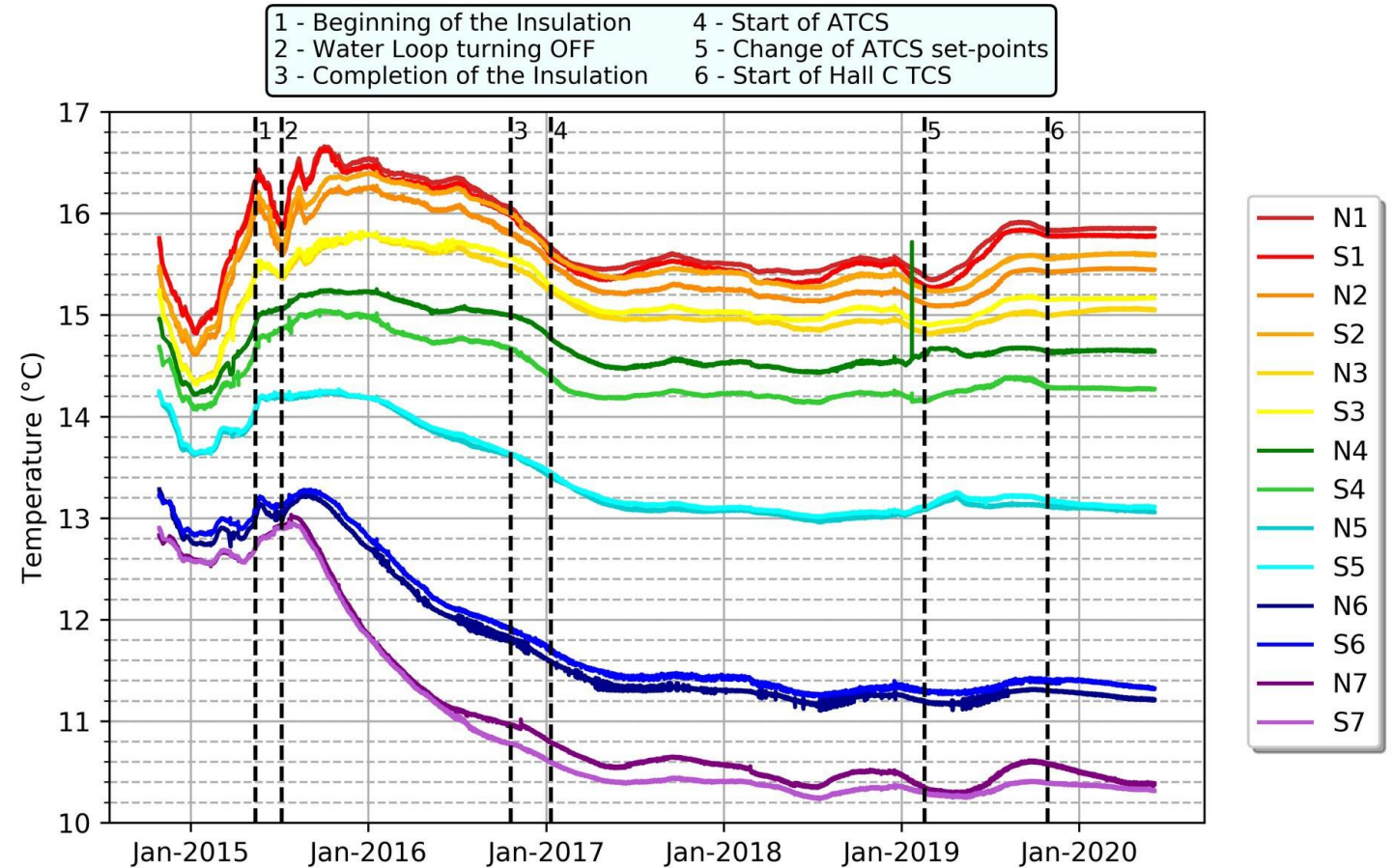
**Late 2019:** installation and commissioning of the *hall C temperature control system*.



# Effects on the temperatures



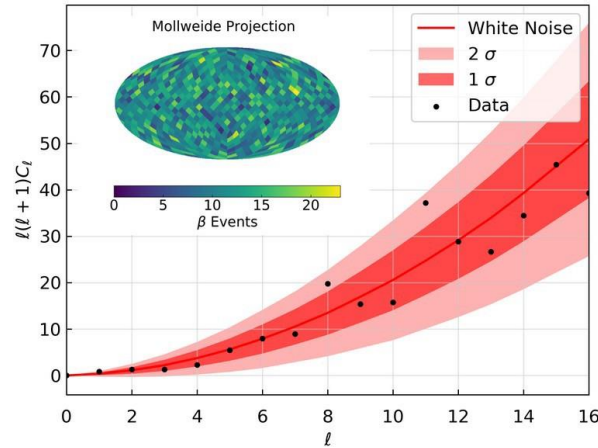
Temperature probes



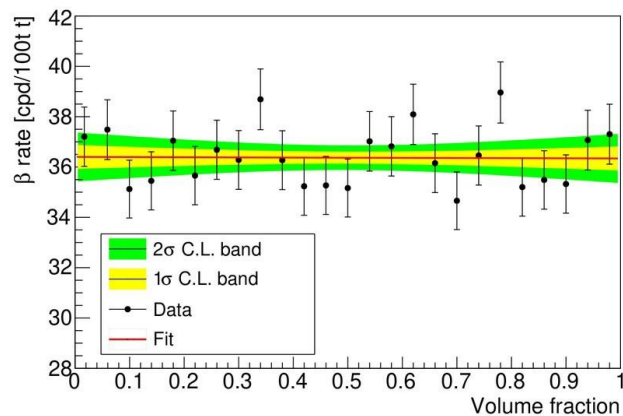
Probes closer to the inner detectors with thermal program milestones

# Bi-210 Uniformity

Uniformity studies



Angular uniformity



Radial Uniformity

The  $^{210}\text{Bi}$  upper limit can be extended over the full FV if and only  $^{210}\text{Bi}$  is uniform

**Uniformity = Angular + Radial**  
**Results: uniform within error.**

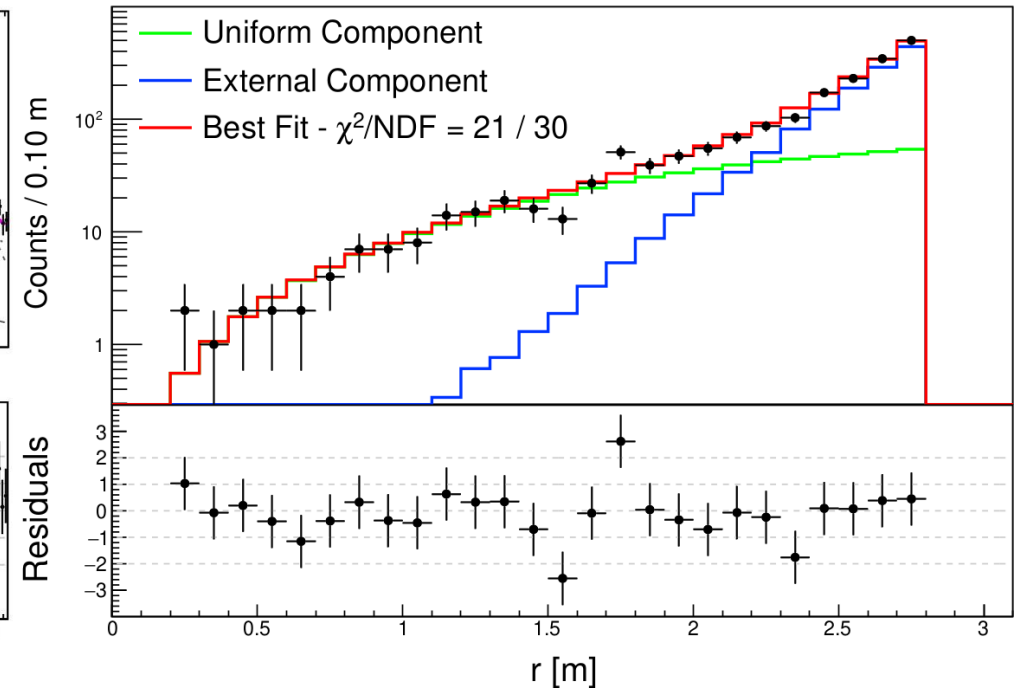
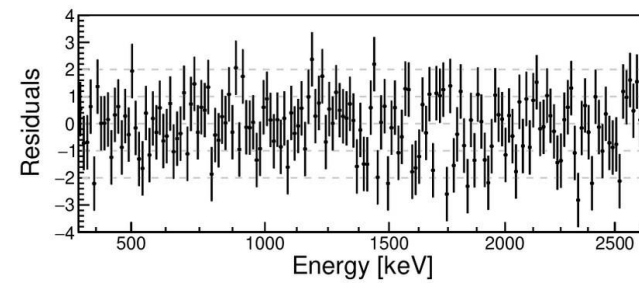
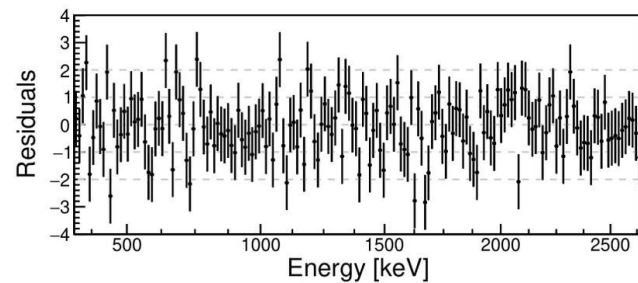
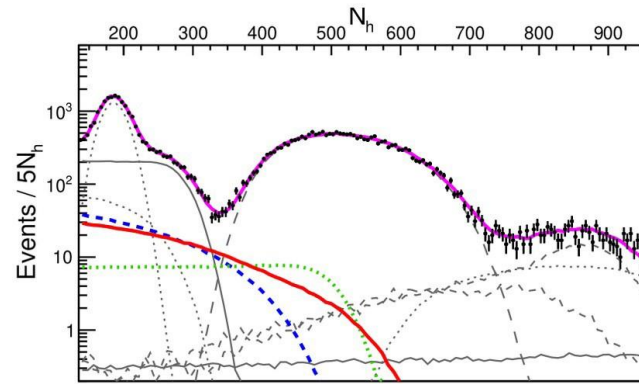
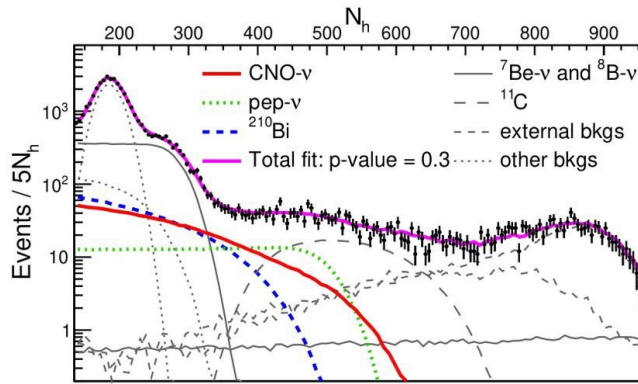
Systematic uncertainty (uniformity): 0.78 cpd/100t

*Supported by numerical fluid dynamic simulations.*

*$^{210}\text{Bi}$  Stable in time:  $^{210}\text{Pb}$  leaching from nylon is negligible*

**Final constraint:  $^{210}\text{Bi} < 11.5 \pm 1.3$  cpd/100t**

# Multivariate Fit



Period: mid 2016, beginning of 2020 (Phase-III)

Energy window: 320-2640 keV

**Pep constraint:**  $2.74 \pm 0.04$  cpd/100t (Gaussian)

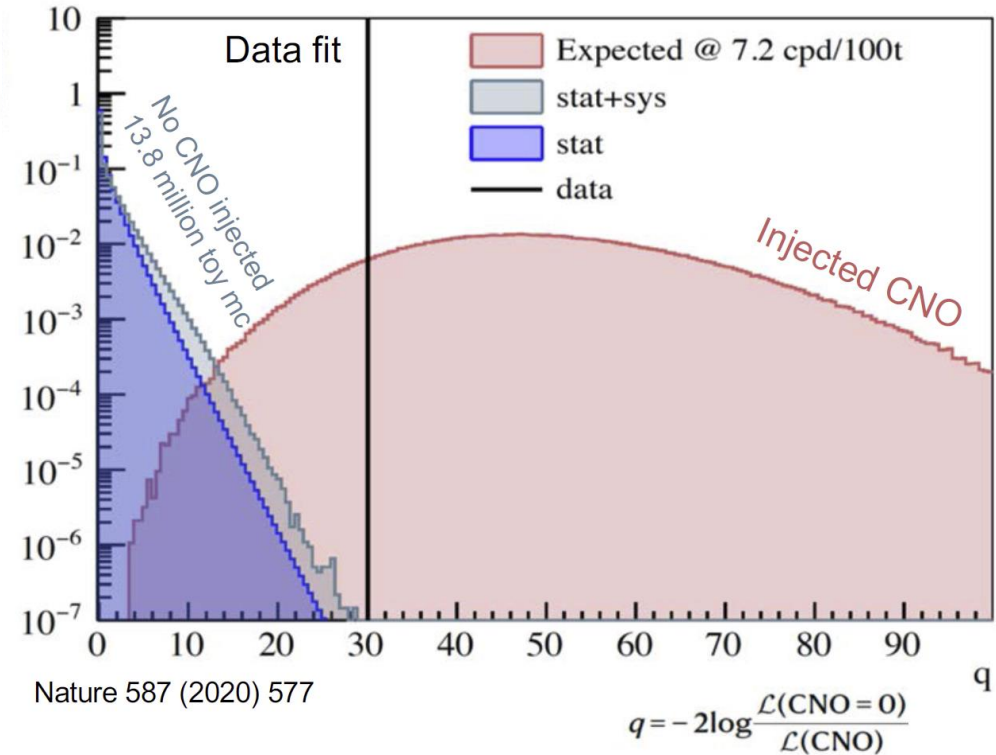
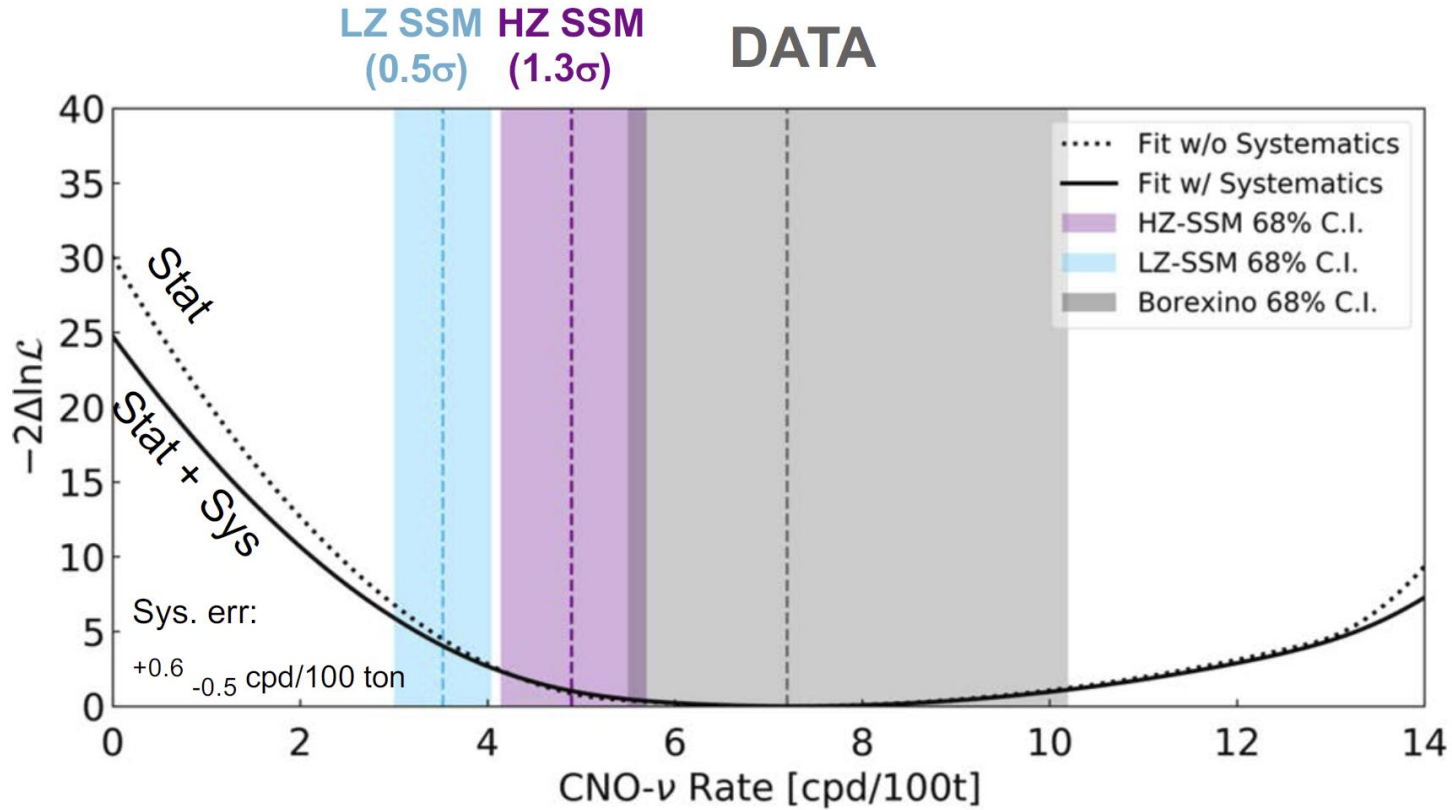
**$^{210}\text{B}$  constraint:**  $11.5 \pm 1.3$  cpd/100t (1/2-Gaussian)

Method: MC multivariate fit

**Result**  
**(68% CL stat)**  
 **$7.2_{-1.7}^{+2.9}$  cpd/100t**

# CNO fit results

The Borexino Collaboration. *Experimental evidence of neutrinos produced in the CNO fusion cycle in the Sun. Nature 587, 577–582 (2020).*



**Result (68% CL stat + sys) =  $R_{\text{CNO}} = 7.2^{+3.0}_{-1.7}$  cpd/100 t**  
 $\Phi(\text{CNO with sys}) = 7.0^{+3.0}_{-2.0} \times 10^8 \text{ cm}^{-2} \text{ s}^{-1}$

Null-hypothesis exclusion:  
 5 $\sigma$  significance at 99% CL

The international journal of science / 26 November 2020

outlook  
Multiple  
myeloma

# nature

## CATCHING THE RAYS

Neutrino detector secures evidence  
of the Sun's secondary fusion cycle

**Coronavirus**  
How Iceland  
subdued COVID-19  
with science

**Family planning**  
Research and invest  
in contraceptives that  
meet women's needs

**Environment**  
The effect of noise  
and light pollution on  
US bird populations

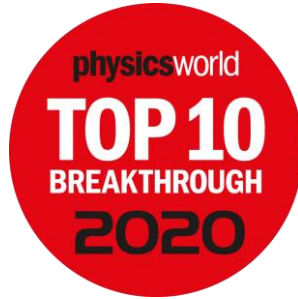


## Borexino

1. Borexino has been the first experiment probing **sub-MeV neutrinos in real-time**, and is still now the **unique experiment** able to proceed with these studies.
2. Borexino has measured for **the first time all pp chain nuclear reactions producing neutrinos**, measuring, in particular, simultaneously the pp,  ${}^7\text{Be}$ , and pep neutrino flux,  ${}^8\text{B}$  neutrinos with a low threshold and probing hep neutrinos.
3. These results paved the way to actual breakthroughs not only on Solar physics, but also on neutrino physics. **The  $\nu_e$  survival probability in the vacuum regime is measured** for the first time by Borexino and the **vacuum-matter transition** has been probed by a single experiment. In addition, a number of non-standard neutrino interactions has been studied by Borexino with world leading limits.



# Borexino



4. The detection of the CNO cycle closes a long history, which began in the 30s of the last century, when Hans Bethe and Carl Friedrich von Weizsacker, independently, proposed that the fusion of hydrogen in stars could also be catalyzed by nuclei heavier than He. Then the theory of energy generation hypothesizes that the CNO would be the primary channel for hydrogen burning in stars more massive than the Sun , and it is in fact the primary channel for hydrogen burning in the Universe. This hypothesis never received an observational confirmation until now, when Borexino **has observed CNO neutrinos** proving also that its contribution in the Sun is of the order of 1%.
5. When all solar neutrino fluxes measured by Borexino, including CNO, are combined, the LZ hypothesis is **disfavored at a level of  $2.1\sigma$** .
6. Again, thanks to the low intrinsic background, Borexino has **observed geo-neutrinos** with  $5\sigma$  statistical significance and studied them to obtain Earth geo-physical and geo-chemical information.

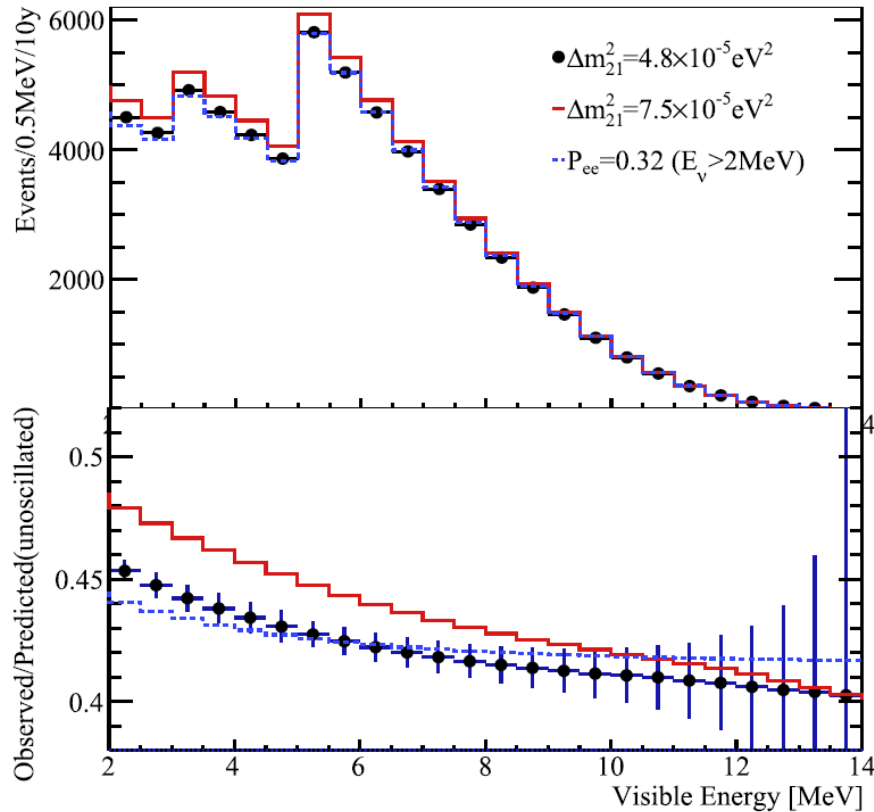


Backup slides

# Future detectors

## JUNO

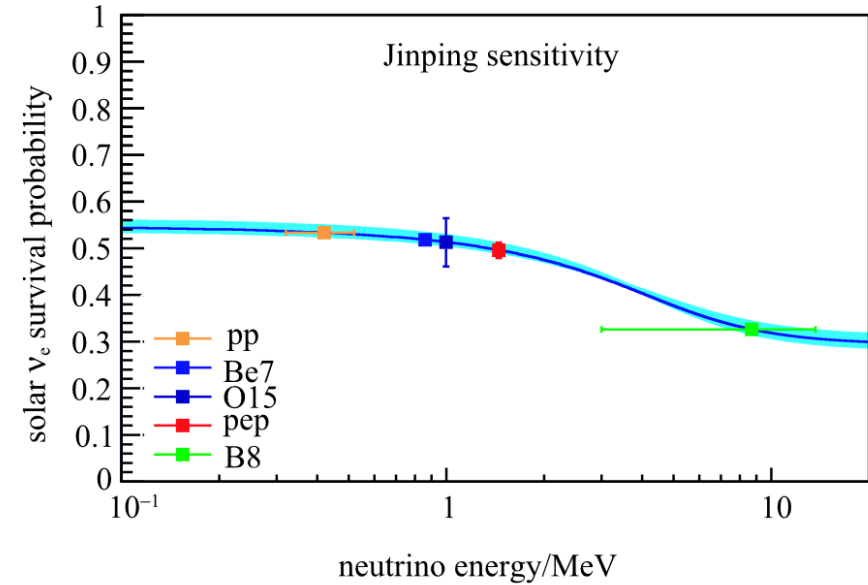
- 20 kt liquid scintillator
- excellent for B solar neutrino measurements,
- low-energy threshold,
- high energy resolution compared with water Cherenkov



Chinese Physics C 2021, Vol. 45 Issue(2) : 023004  
DOI: 10.1088/1674-1137/abd92a

## Jinping

- slow liquid scintillator
- total fiducial target mass of 2000 tons for solar neutrino



John F. Beacom *et al* 2017 *Chinese Phys. C* 41 023002

## HyperKamiokande

- next generation large water Cherenkov detector
- water tanks provide the fiducial (total) volume of 0.19 (0.26) million metric tons